

# 次世代観測装置用の新しい 回折格子の開発状況 II

海老塚 昇<sup>1</sup>, 細畠 拓也<sup>1</sup>, 山形 豊<sup>1</sup>, 岡本隆之<sup>2</sup>, 佐藤 慎也<sup>3</sup>,  
田辺 綾乃<sup>3</sup>, 橋本 信幸<sup>3</sup>, 佐々木 実<sup>4</sup>, 魚本 幸<sup>5</sup>, 島津 武仁<sup>5</sup>,  
桐野 宙治<sup>6</sup>, 尾崎 忍夫<sup>7</sup>, 青木 和光<sup>7</sup>, 高見 英樹<sup>7</sup>

<sup>1</sup>理研 先端光学素子開発チーム,

<sup>3</sup>シチズンホールディングス(株)開発部,

<sup>5</sup>東北大学 学際科学フロンティア研究所,

<sup>7</sup>国立天文台 光赤外研究部

<sup>2</sup>理研 石橋極微デバイス工学研究室,

<sup>4</sup>豊田工業大学 ナノテクプラットフォーム,

<sup>6</sup>(株)クリスタル光学 開発部,



# Spectrograph of 30m Telescope ( $R \sim 50,000 @ 1\mu\text{m}$ )

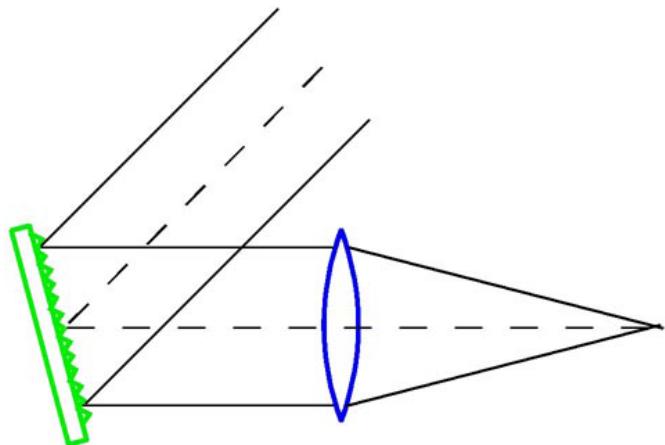
	Natural seeing: 0.5"	Diffraction limit: 0.0084"	Remarks
Slit width	727 $\mu\text{m}$	12.2 $\mu\text{m}$	F/10
D <sub>col</sub>	3 m	0.05 m	$\alpha = 26.7^\circ$ , $\sim 900\text{g/mm}$
F <sub>col</sub>	30 m	0.5 m	F/10
Size of spectrograph	36 $\times$ 9 $\times$ 4.5 [m]	0.6 $\times$ 0.15 $\times$ 0.075 [m]	Littrow mount
Camera F	F/0.62	F/37	15 $\mu\text{m} \times 3$ pix.
Precision of optical elements	$\sim 3\lambda$	$< \lambda/20$	r.m.s.

A high dispersion grating is necessary!

# Novel Gratings under Development

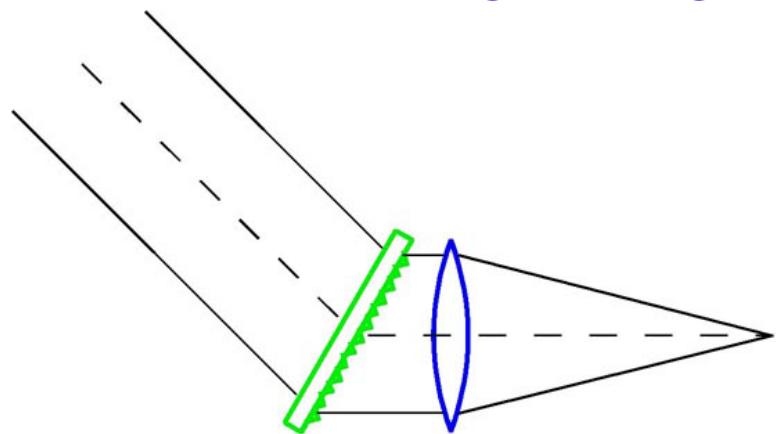
- Volume phase holographic (VPH) grating (All most finished for SUBARU)  
Thick grating of sinusoidal index modulation for the 1st order diffraction.
- Birefringence VPH grating  
VPH grating of which spectral efficiency is coincide with S and P polarization by using birefringent media.
- Birefringence Bragg binary (3B) grating  
Thick rectangular grating of which spectral efficiency is coincide with S and P polarization by using birefringent media. Available for the 1st and several diffraction order.
- Surface relief grating with acute angle grooves  
Replicated grism for MOIRCS with high index prism. (3rd ~6th diffraction order).
- Quasi-Bragg grating  
Transmission grating for high diffraction order fabricated by lamination of mirror plates.  
Having the imaging capability.
- Immersion grating (Already developed in 10 $\mu\text{m}$  band, under development for the near-infrared)  
High dispersion echelle grating of which optical path is filled up a high index media.
- Quasi-Bragg immersion grating

# Reflection and Transmission Grating



Reflection grating

- Utilize wide range electromagnetic wave from X ray to THz.
- Relatively inexpensive.

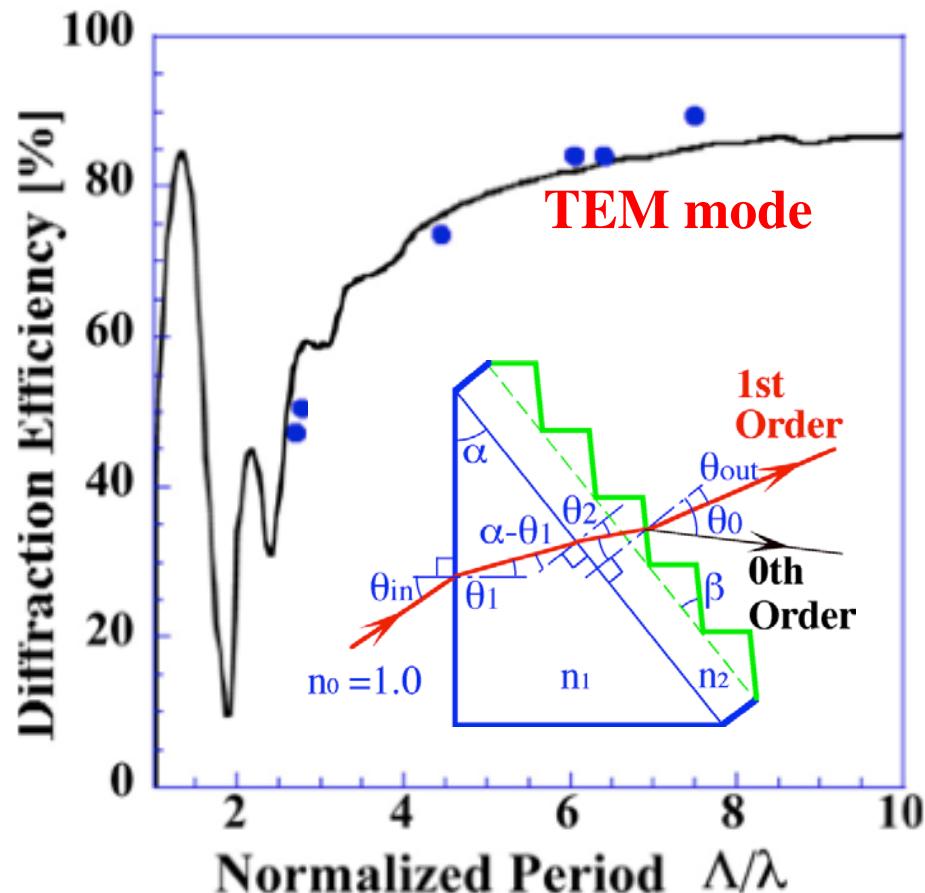


Transmission grating

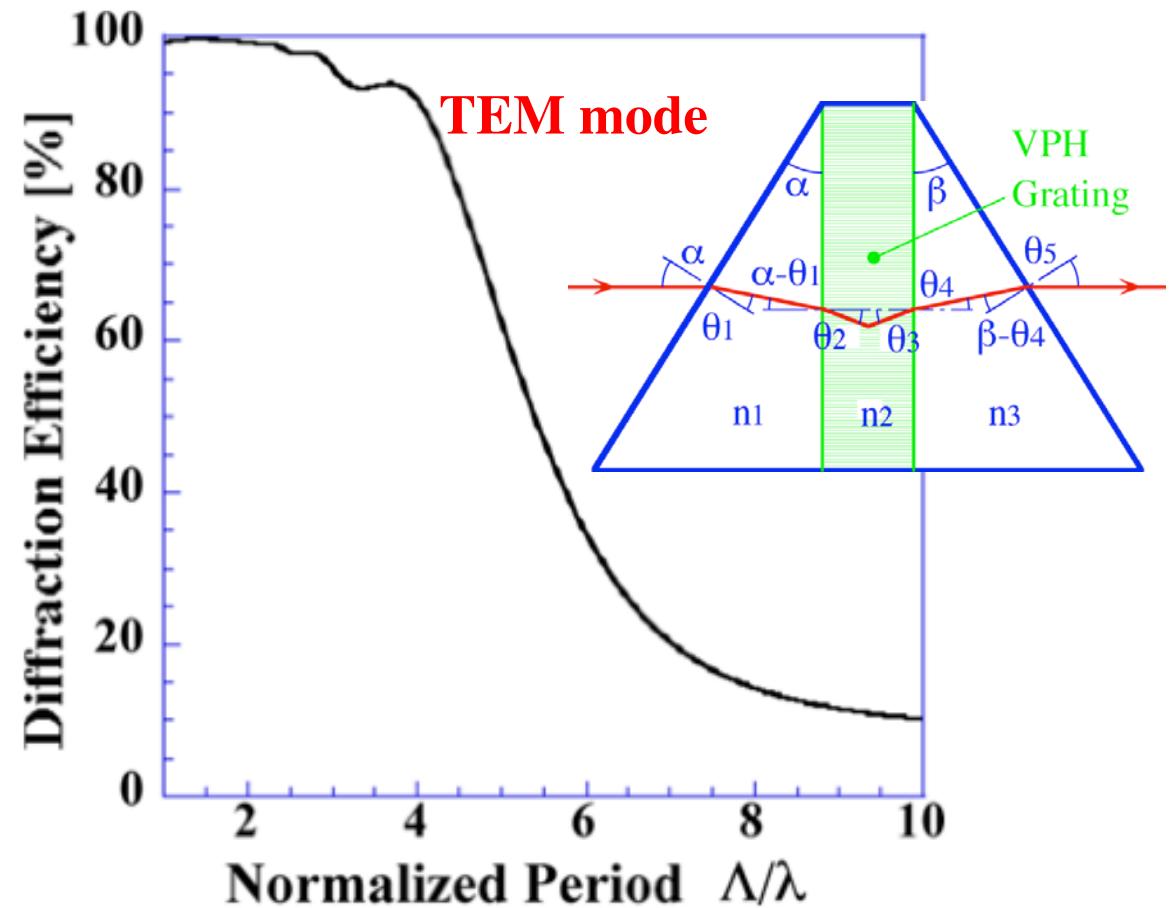
- Collimator and camera optics could place nearby the grating.
- It is able to reduce size of the spectrometer.

Development of the transmission grating for higher-order diffraction with high dispersion has been demanded.

# Efficiencies of Transmission Gratings



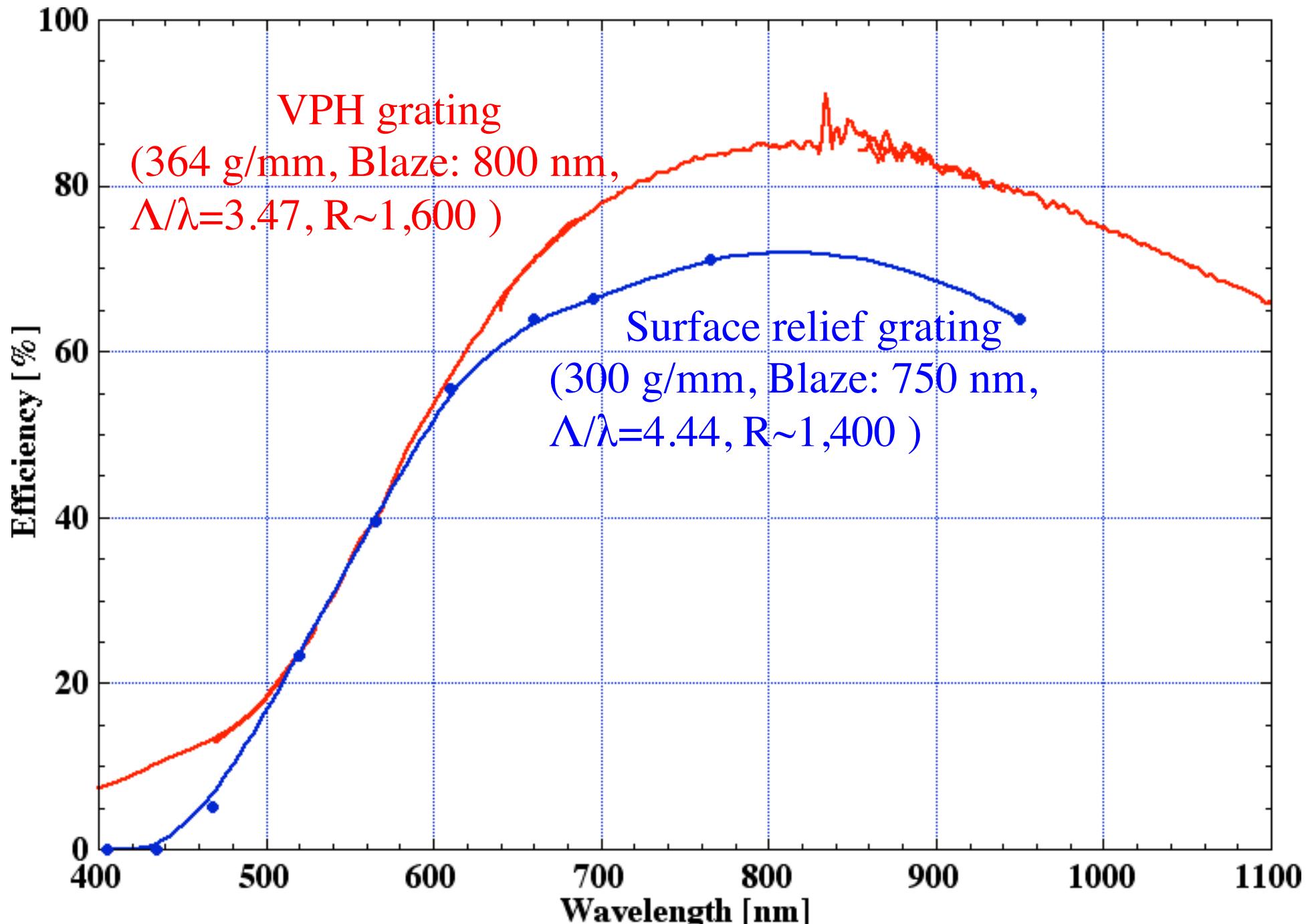
Surface relief grating:  
Efficiency decreases  
steeply below  $4 \Lambda/\lambda$



VPH (Volume Phase Holographic)  
grating ( $\Delta n \sim 0.02$ ): Efficiency  
increases up to 100% below  $4 \Lambda/\lambda$ .

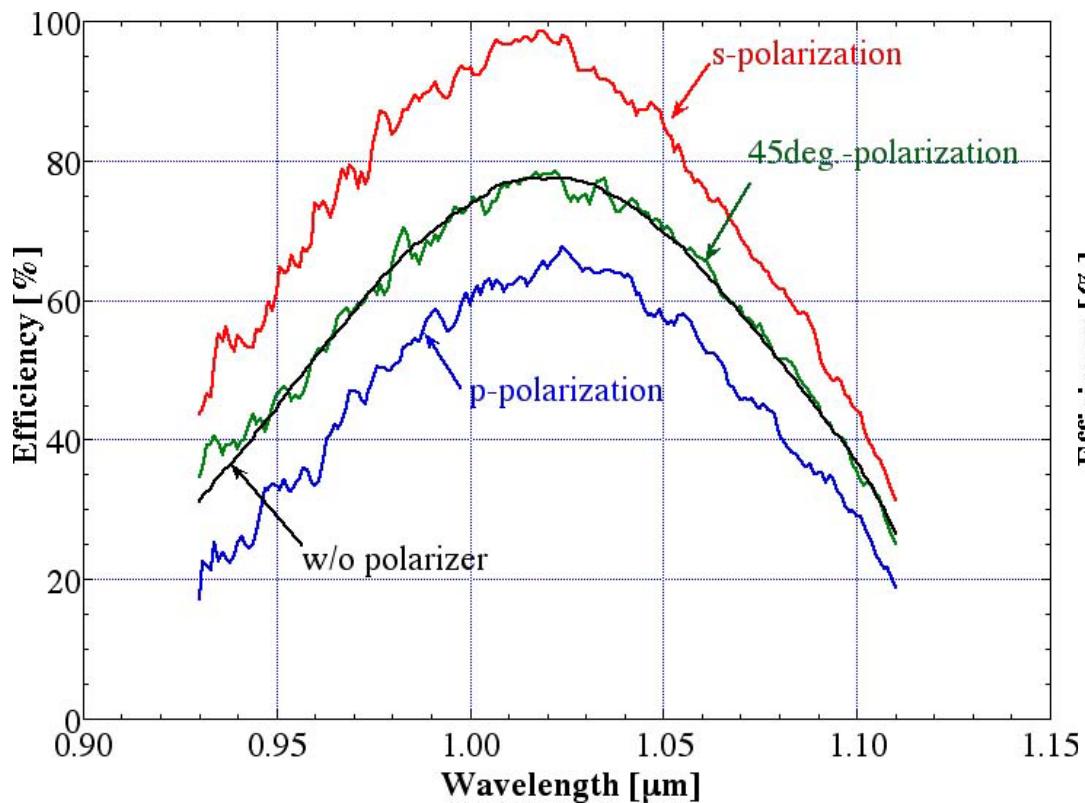
(Oka et. al., SPIE, 5005, 2003)

# Diffraction Efficiencies of VPH Grism for FOCAS



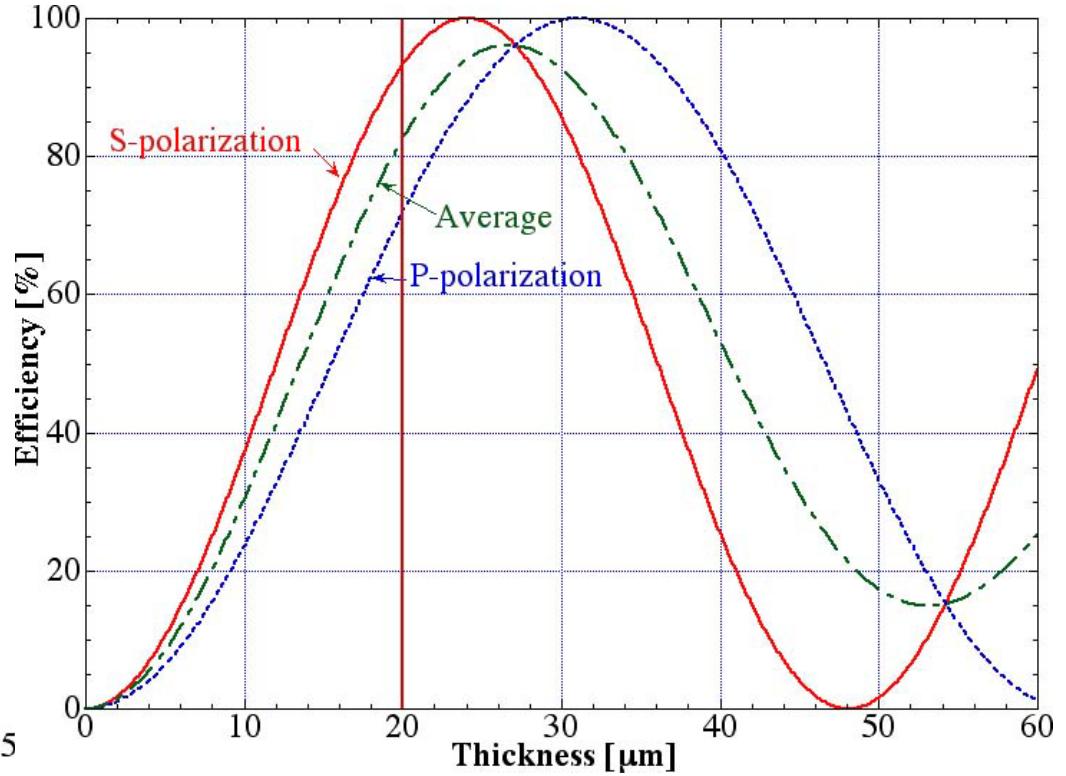
# Polarized Diffraction Efficiency of VPH Grating

$$\eta_s = \sin^2 \left\{ \frac{\pi(n_{\max} - n_{\min})t}{\Lambda(n_{\max} + n_{\min}) \sin 2\theta} \right\}$$



Measured polarized diffraction efficiencies of a prototype VPH grating for a MOIRCS grism.  
 $n_{\text{ave}}=1.53$ ,  $\Lambda=0.984$  μm  $t=20$  μm,  
 $\theta=19.8^\circ$  @  $\lambda=1.02$  μm.

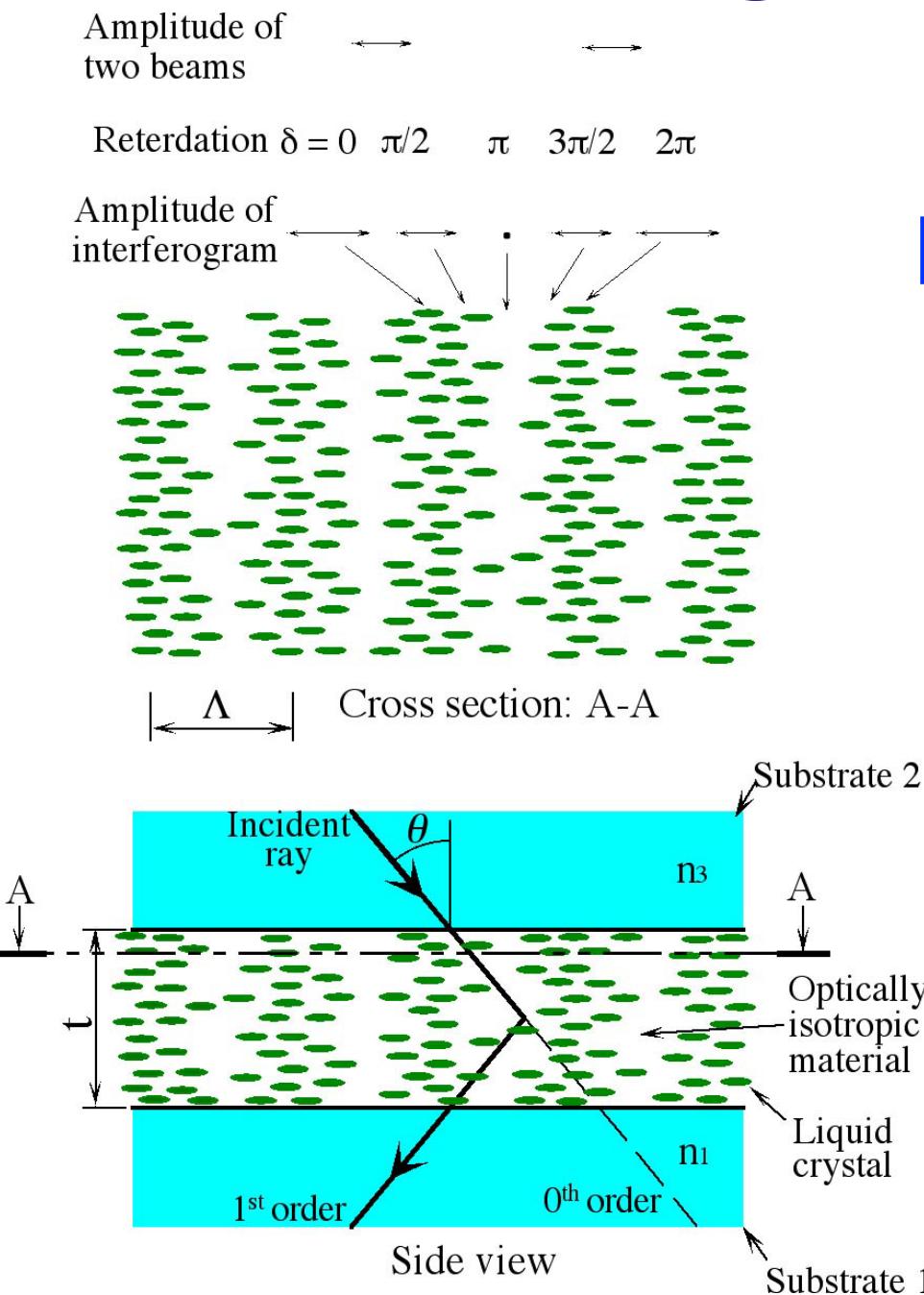
$$\eta_p = \sin^2 \left\{ \frac{\pi(n_{\max} - n_{\min})t \cos 2\theta}{\Lambda(n_{\max} + n_{\min}) \sin 2\theta} \right\}$$



Calculated polarization diffraction efficiencies versus thickness of a VPH grating with refractive index modulation:  $\Delta n=0.017$ .

[Ebizuka et al., PASJ, 63, 2011b]

# Birefringence VPH Grating

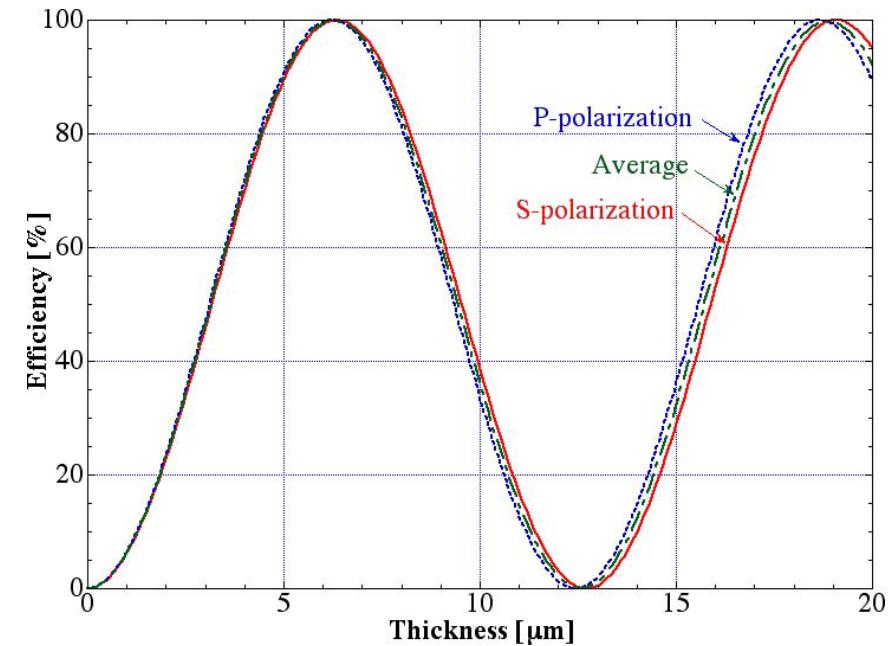


$$\frac{n_{S\max} - n_{S\min}}{(n_{S\max} + n_{S\min}) \sin 2\theta_S} = \frac{(n_{P\max} - n_{P\min}) \cos 2\theta_P}{(n_{P\max} + n_{P\min}) \sin 2\theta_P}$$

$$\frac{n_{S\max} - n_{S\min}}{(n_{S\max} + n_{S\min}) \cdot 2 \sin \theta_S \cos \theta_S} = \frac{(n_{P\max} - n_{P\min}) \cos 2\theta_P}{(n_{P\max} + n_{P\min}) \cdot 2 \sin \theta_P \cos \theta_P}$$

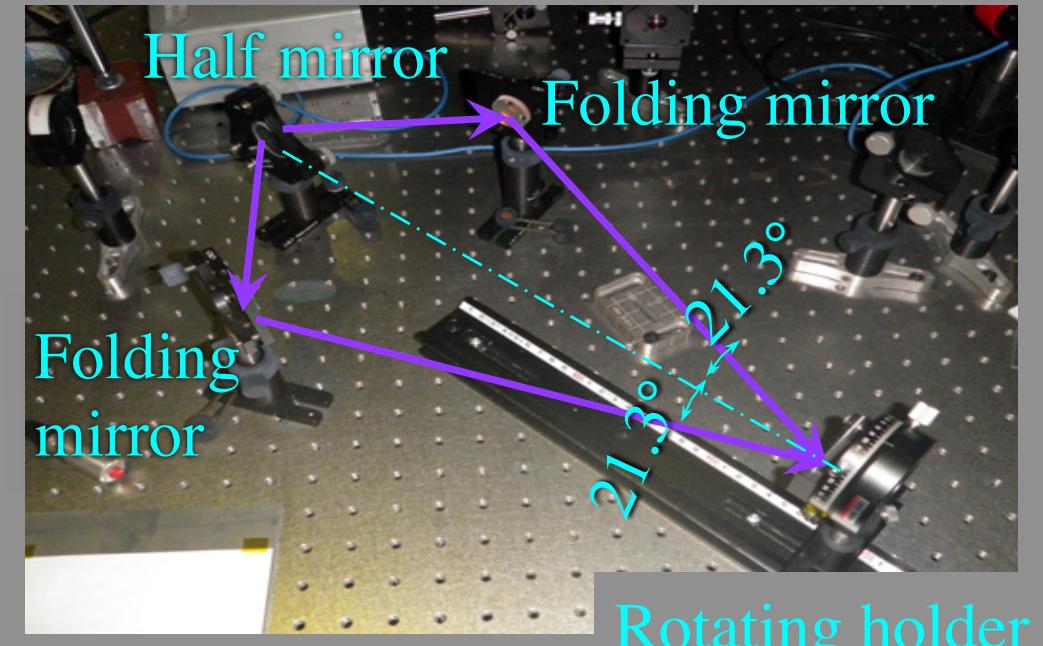
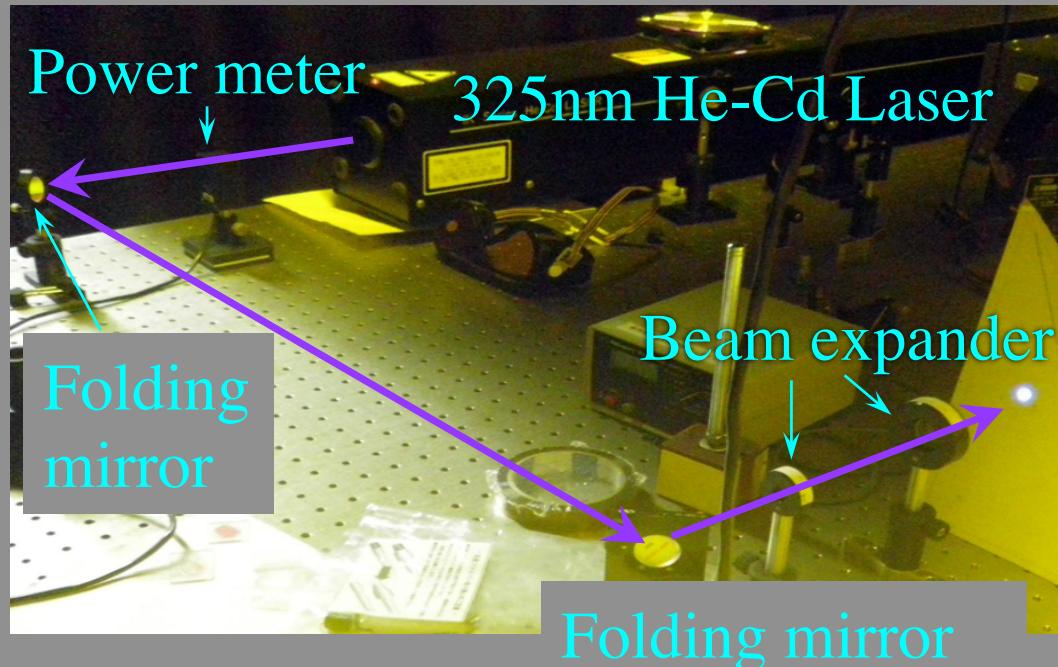
Snell's law

$$\frac{n_{S\max} - n_{S\min}}{\cos \theta_S} \approx \frac{(n_{P\max} - n_{P\min}) \cos 2\theta_P}{\cos \theta_P}$$



Calculated polarization diffraction efficiencies versus grating thickness  $t$  of birefringence VPH grating.

# Fabrication for Liquid Crystal Grating

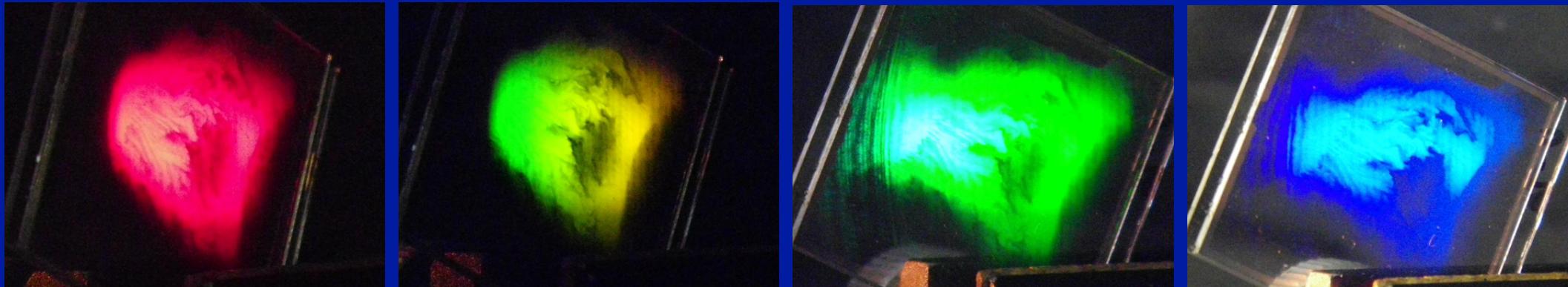


Two beam interference exposure optics

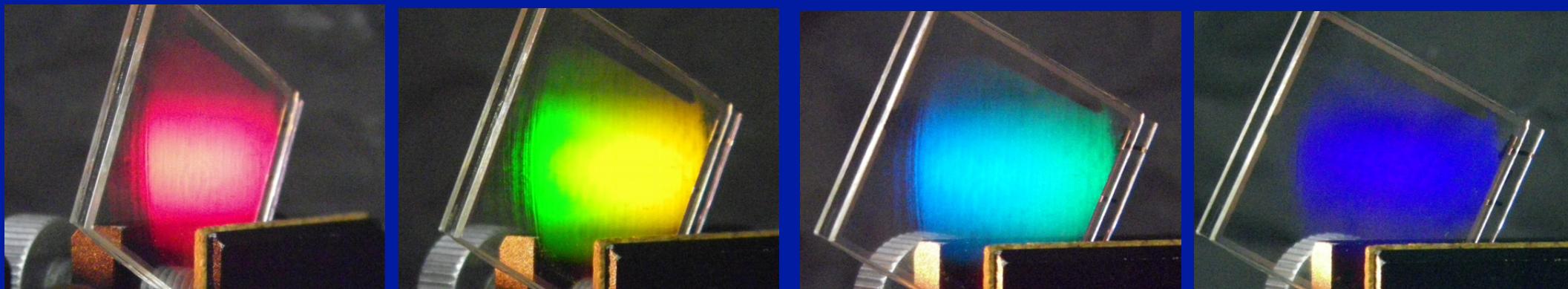
$$\Delta = m\lambda / 2 \sin \theta_B = 0.325 / 2 \sin 21.3^\circ = 0.447 \text{ } [\mu\text{m}]$$

(2,235 grooves/mm)

# Liquid Crystal VPH grating

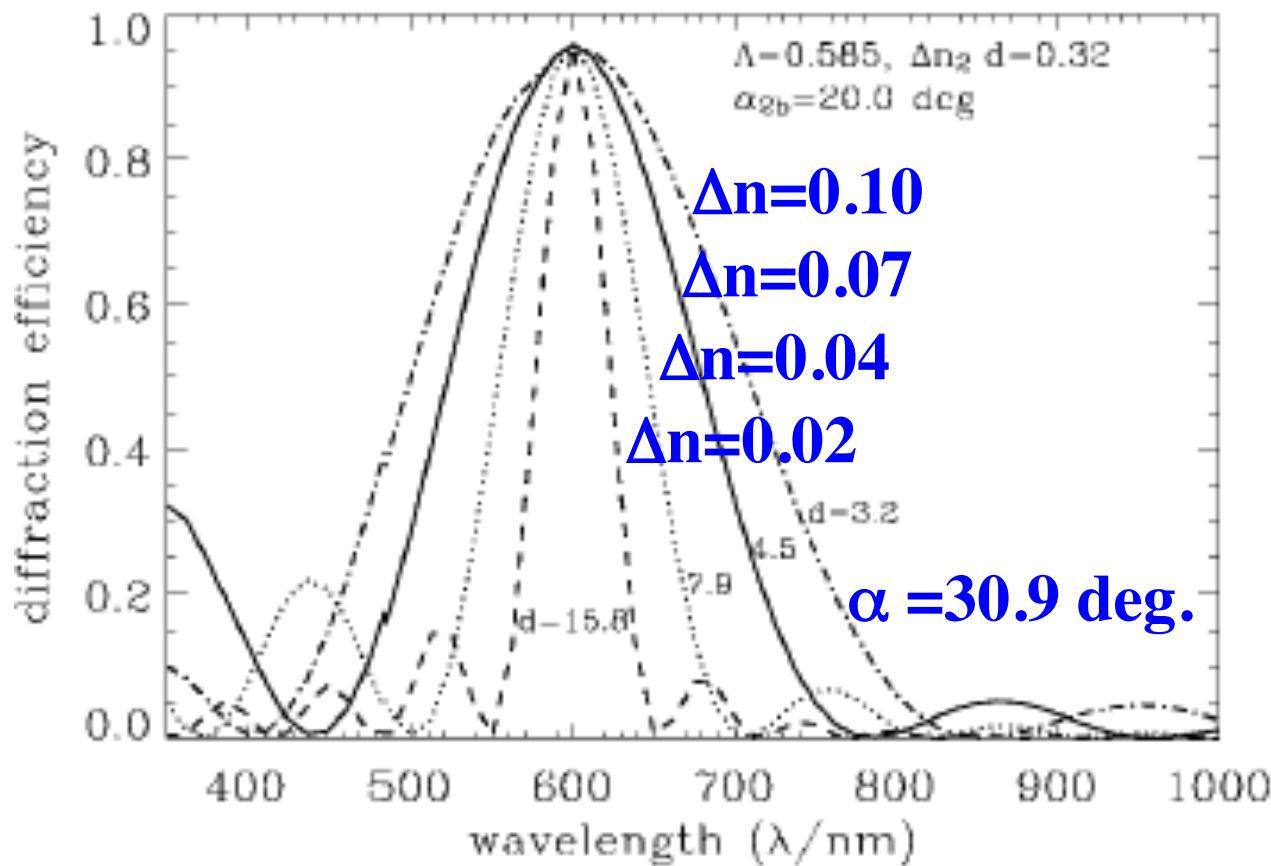


DIC ULC17A+Merck MJ041609, UV: 11mW, 180sec.



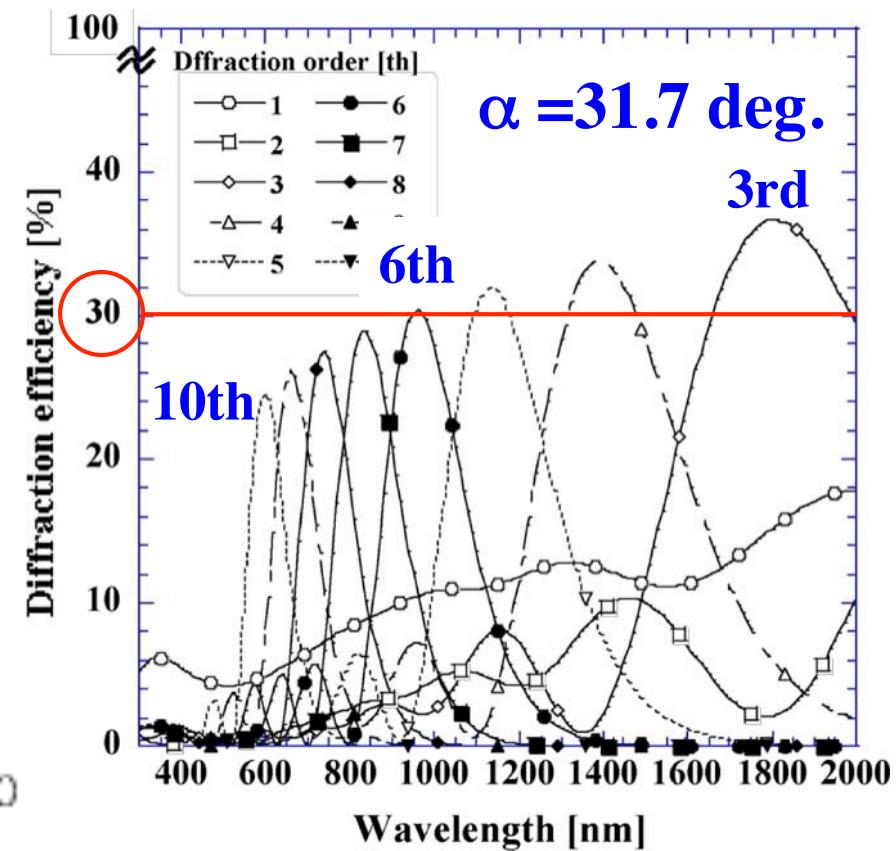
DIC TKN+MerckMJ041609, UV: 12.4 mW, 180sec.

# Limitation of VPH Grating



Band width of VPH grating becomes narrow in diffraction angle:  $\alpha$  increase because semi-amplitude of index modulation ( $\Delta n$ ) of dichromated gelatin (DCG) is up to 0.15.

[Baldry et al., PASP, 116, 2004]

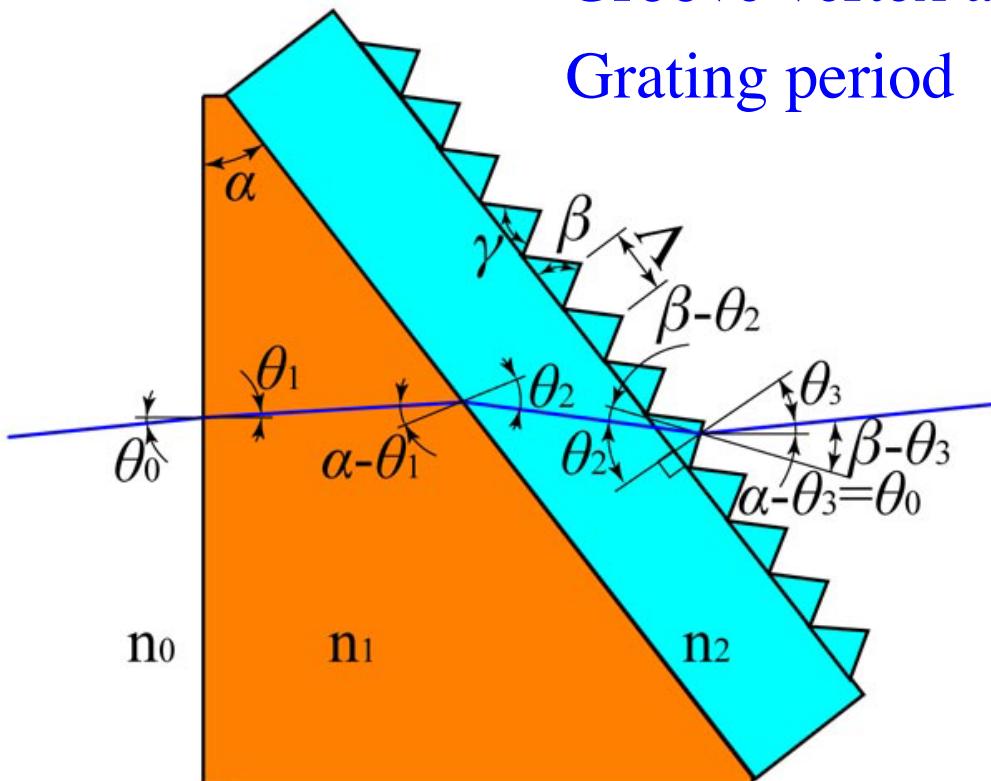


Diffraction efficiency of VPH grating decrease toward higher orders.

[Oka et. al., SPIE, 5290, 2004]

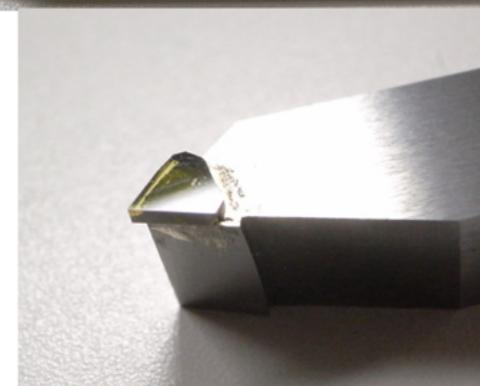
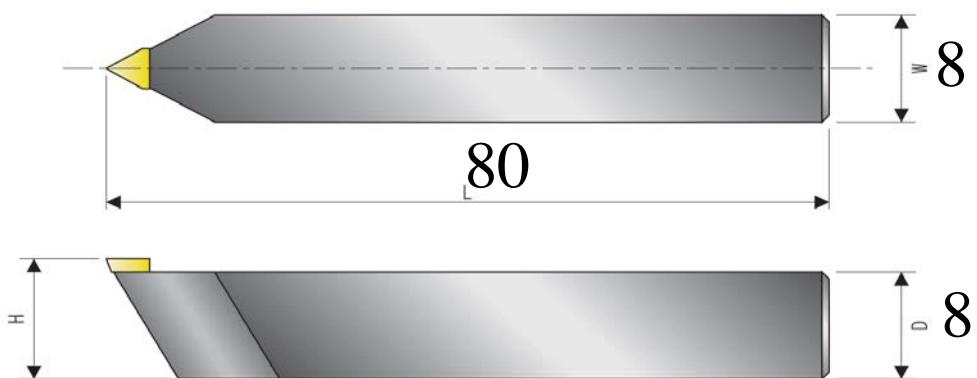
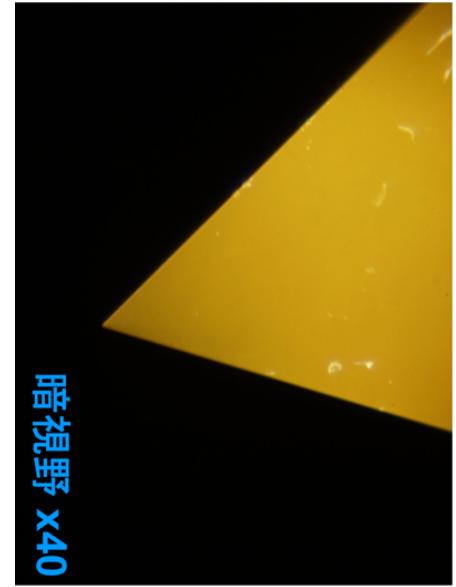
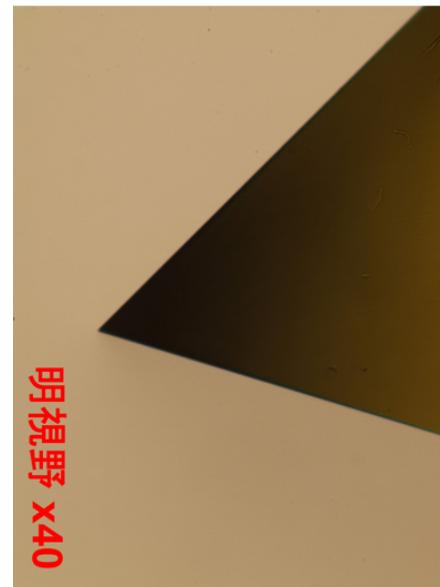
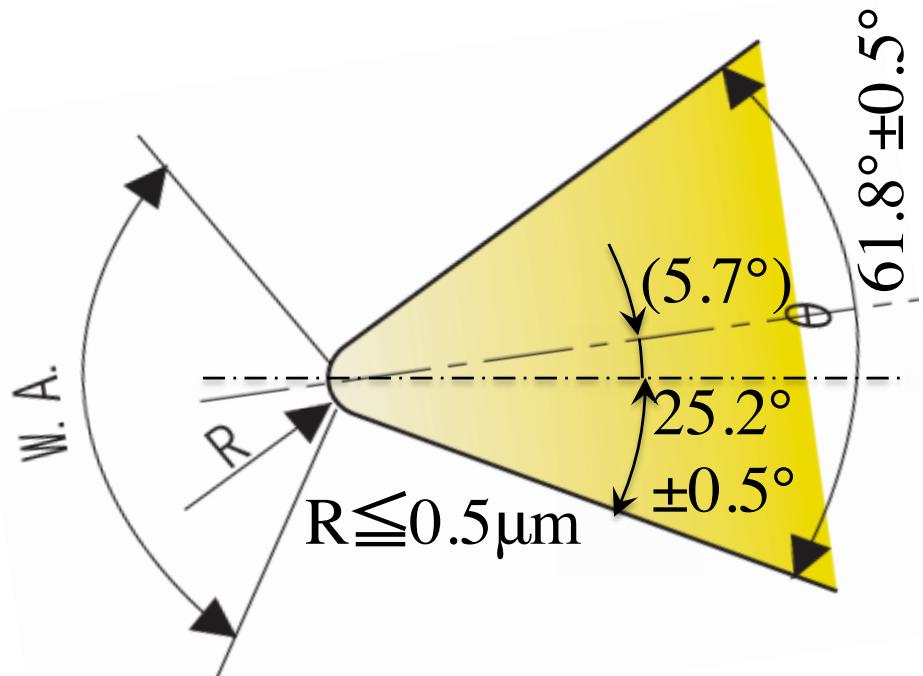
# MOIRCS SR Grism

Prism material 1	: ZnSe      ( $n_1 = 2.4529$ @1.65 $\mu\text{m}$ )
Plate	: S-FPM3 ( $n_2 = 1.5240$ @1.65 $\mu\text{m}$ )
Prism vertex angle	: $\alpha = 23.8^\circ$
Incident angle	: $\theta_0 = 5^\circ$
Groove blaze angle	: $\beta = 64.8^\circ$
Groove vertex angle	: $\gamma = 61.8^\circ$
Grating period	: $\Lambda = 10.79 \mu\text{m}$ (92.68 grooves/mm)

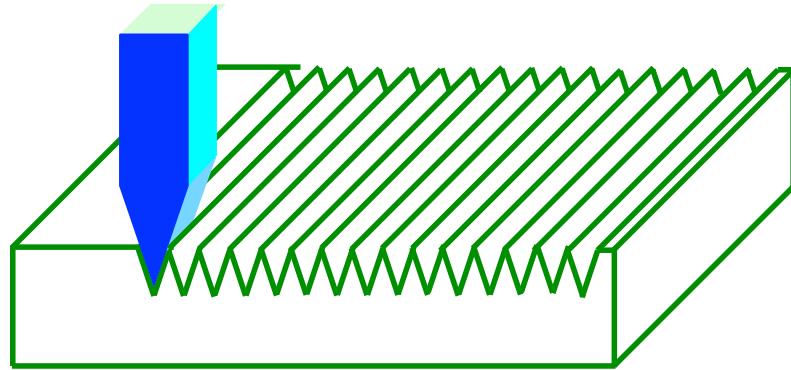


7th, R= 1,487@0.88  $\mu\text{m}$  (z band)  
6th, R= 1,431@1.02  $\mu\text{m}$  (Y band)  
5th, R= 1,408@1.25  $\mu\text{m}$  (J band)  
4th, R= 1,434@1.65  $\mu\text{m}$  (H band)  
3rd, R= 1,419@2.20  $\mu\text{m}$  (K band)

# Diamond Bite for Master Grating

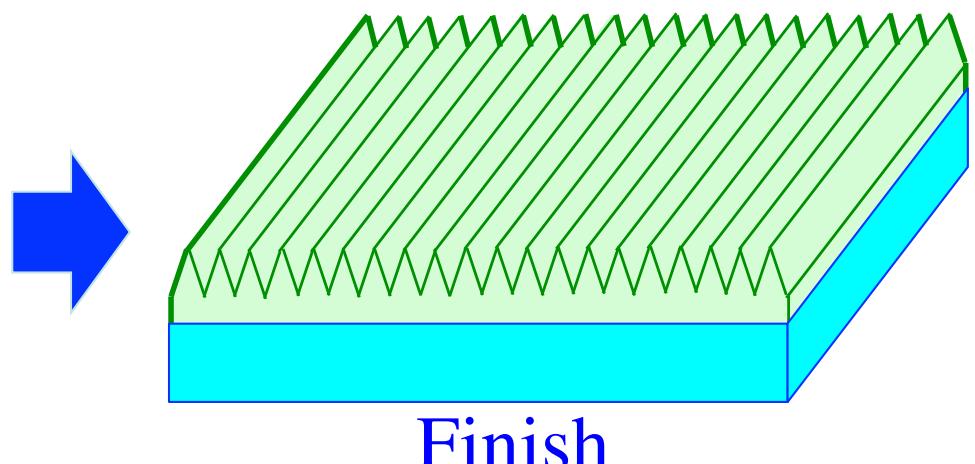
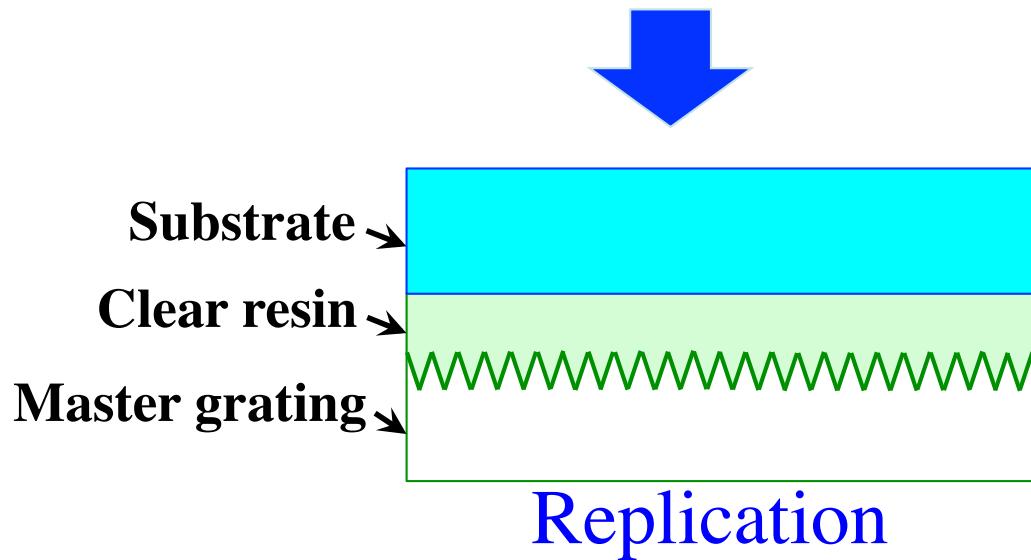


# Fabrication Method for MOIRCS SR Grating



Shaper cutting of master grating

Work : Ni-P alloy of Non-electrolytic plating  
Blaze angle :  $\beta = 64.8^\circ$   
Vertex angle :  $\gamma = 61.8^\circ$   
Grating period :  $\Lambda = 10.79\mu\text{m}$



Finish

# Limitation of Surface Relief Grating

$$\sin\theta_0 = n \sin\theta_1$$

$$n \sin(\alpha - \theta_1) = \sin\theta_2$$

$$\theta_2 = \alpha + \theta_0$$

$$n \sin(\alpha - \theta_1) = \sin(\alpha + \theta_0)$$

$$n (\sin\alpha \cos\theta_1 - \sin\theta_1 \cos\alpha)$$

$$= \sin\alpha \cos\theta_0 + \sin\theta_0 \cos\alpha$$

$$(n \cos\theta_1 - \cos\theta_0) \sin\alpha$$

$$= (\sin\theta_0 + n \sin\theta_1) \cos\alpha$$

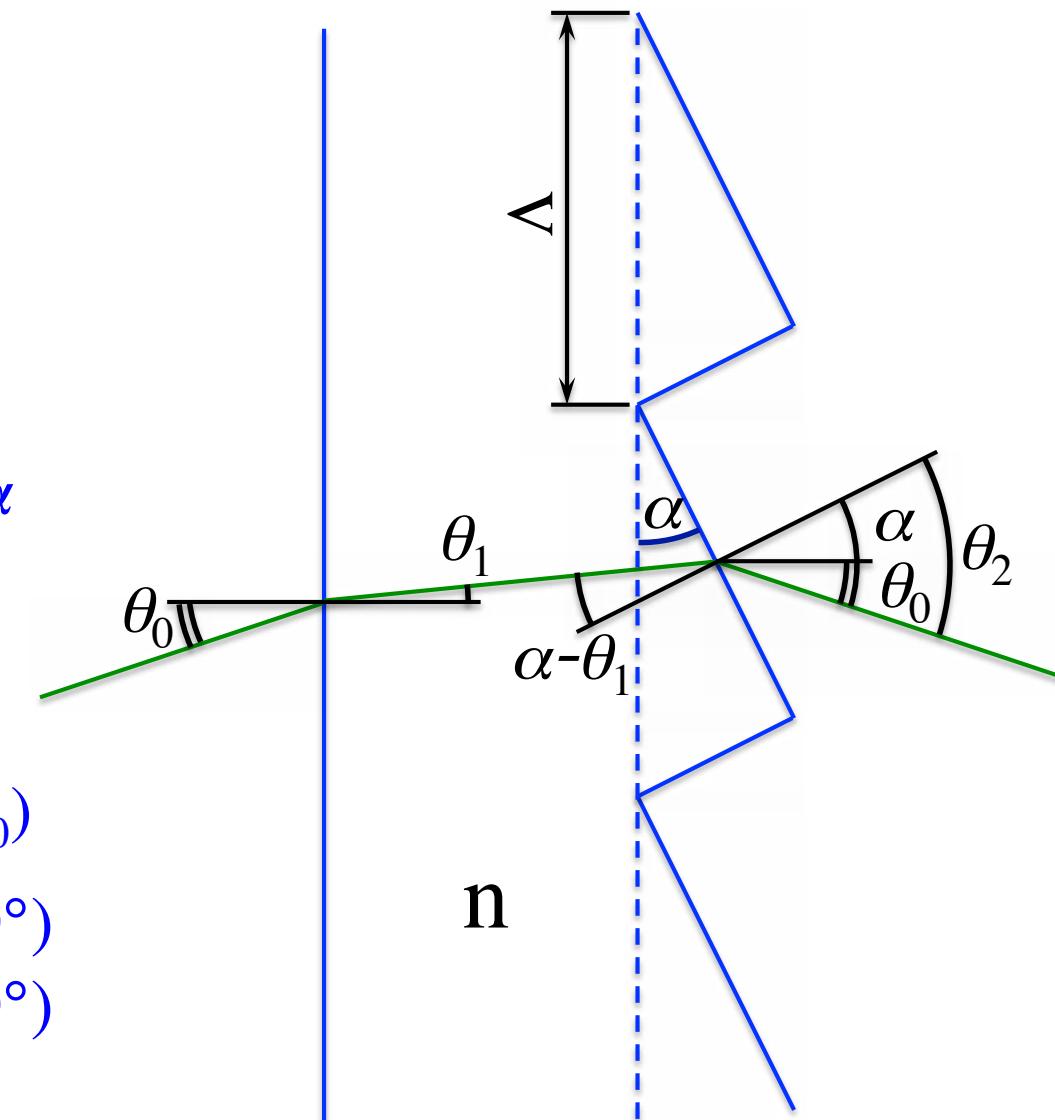
$$= 2\sin\theta_0 \cos\alpha$$

$$\tan\alpha = 2\sin\theta_0 / (n \cos\theta_1 - \cos\theta_0)$$

$$n = 1.8, \theta_0 \leq 36^\circ (\theta_2 > 90^\circ)$$

$$n = 3.0, \theta_0 \leq 54^\circ (\theta_2 > 90^\circ)$$

Diamond:  $n = 2.46 @ 400\text{nm}$

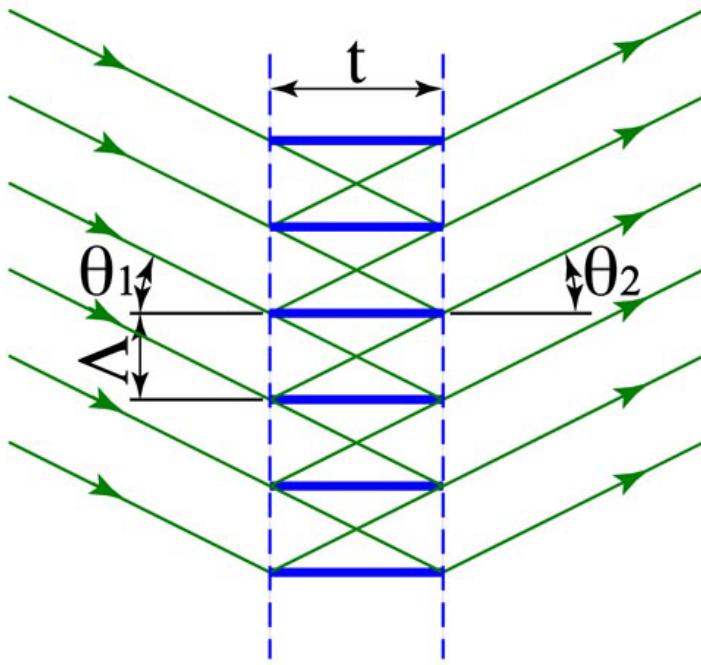


Surface relief grating with saw tooth grooves is not feasible for the medium and high res. gratings.

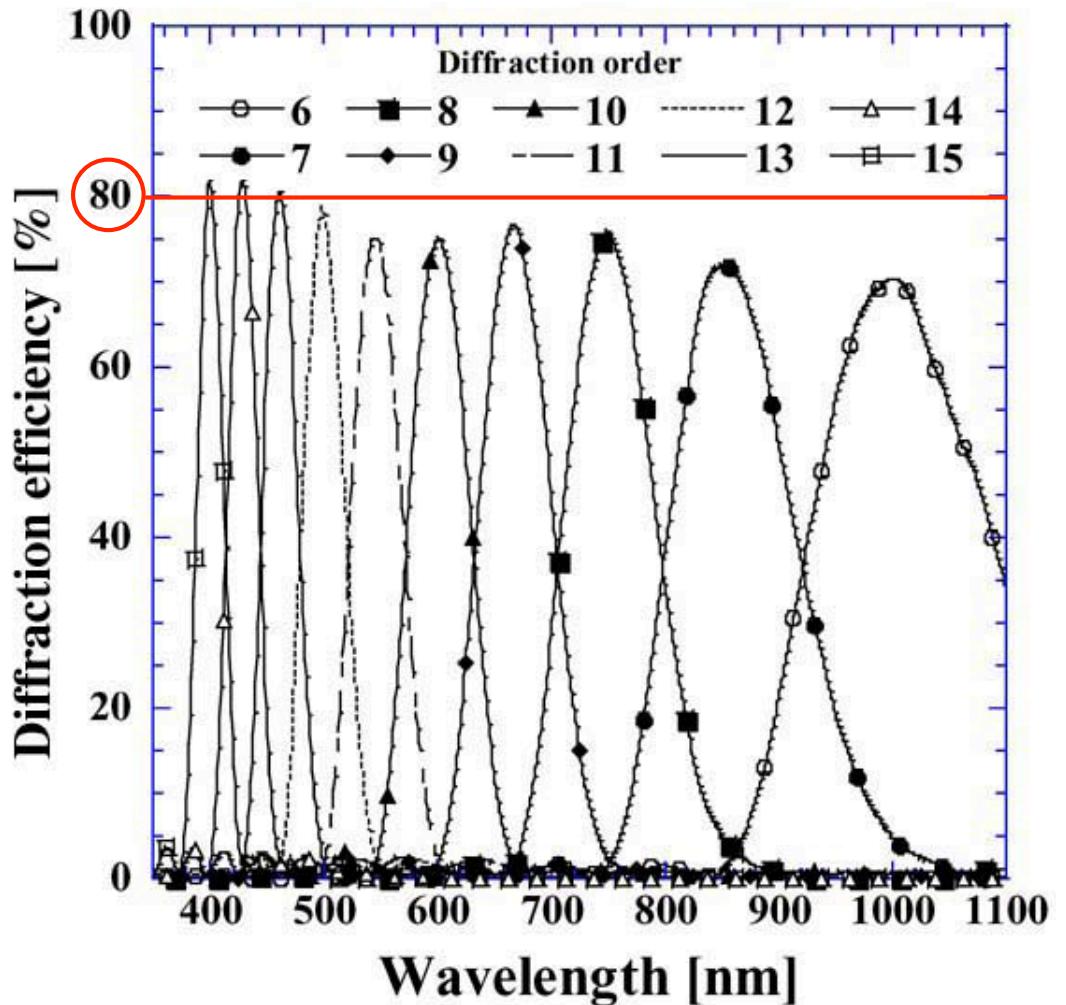
# Quasi-Bragg grating



# Quasi-Bragg Grating for Higher Orders



- Quasi-Bragg grating (QBG) achieves high diffraction efficiency toward higher order.
- QBG inherits advantages of VPH grating.
- QBG has the imaging property.



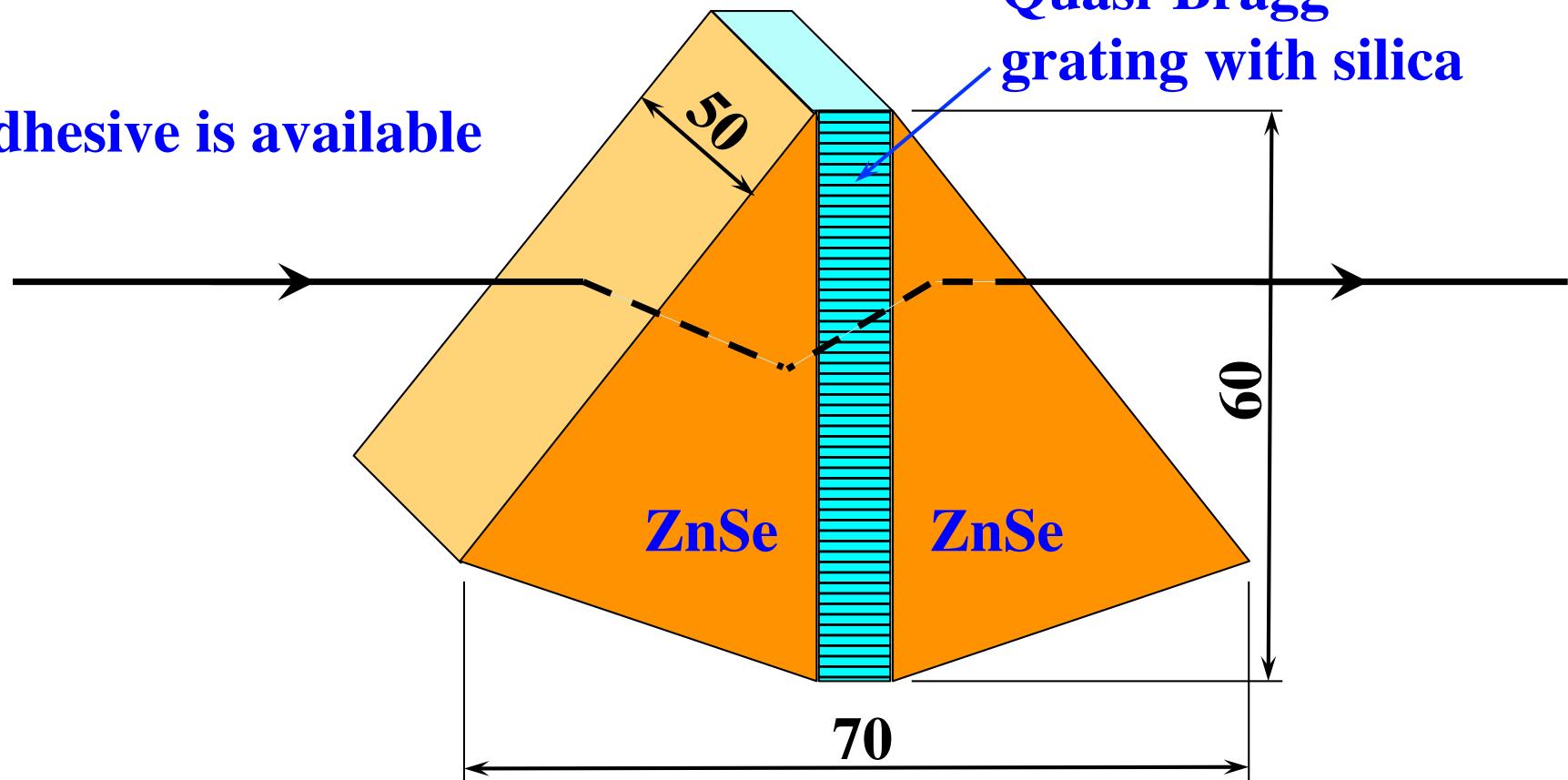
Diffraction Efficiency of quasi-Bragg grating.  $n = 1.50$ ,  $\Lambda = 5.71 \mu\text{m}$ ,  $t = 15.27 \mu\text{m}$ ,  $\theta_1 = \theta_2 = 20.51^\circ$ .

[Oka et al., SPIE 5290, 2004]

# Quasi-Bragg Grism

Quasi-Bragg  
grating with silica

Adhesive is available



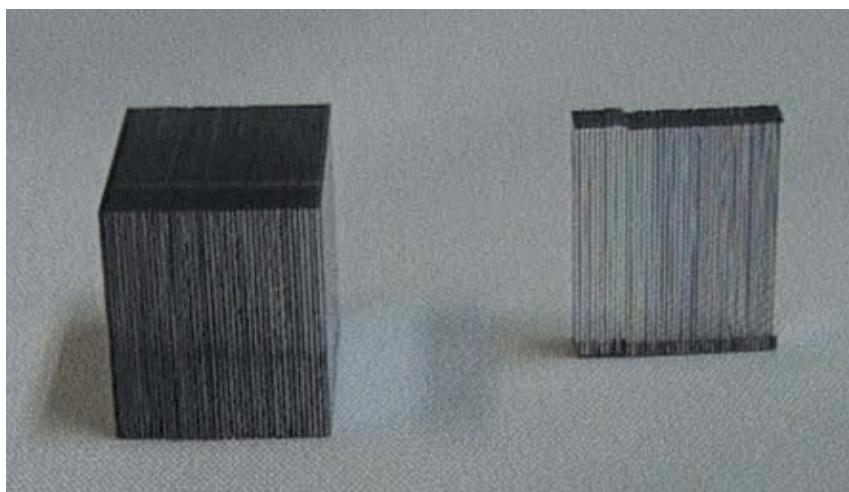
Visible (300~1000nm), Near IR (1.0~2.5μm)

Substrate : Silica, optical glass etc.

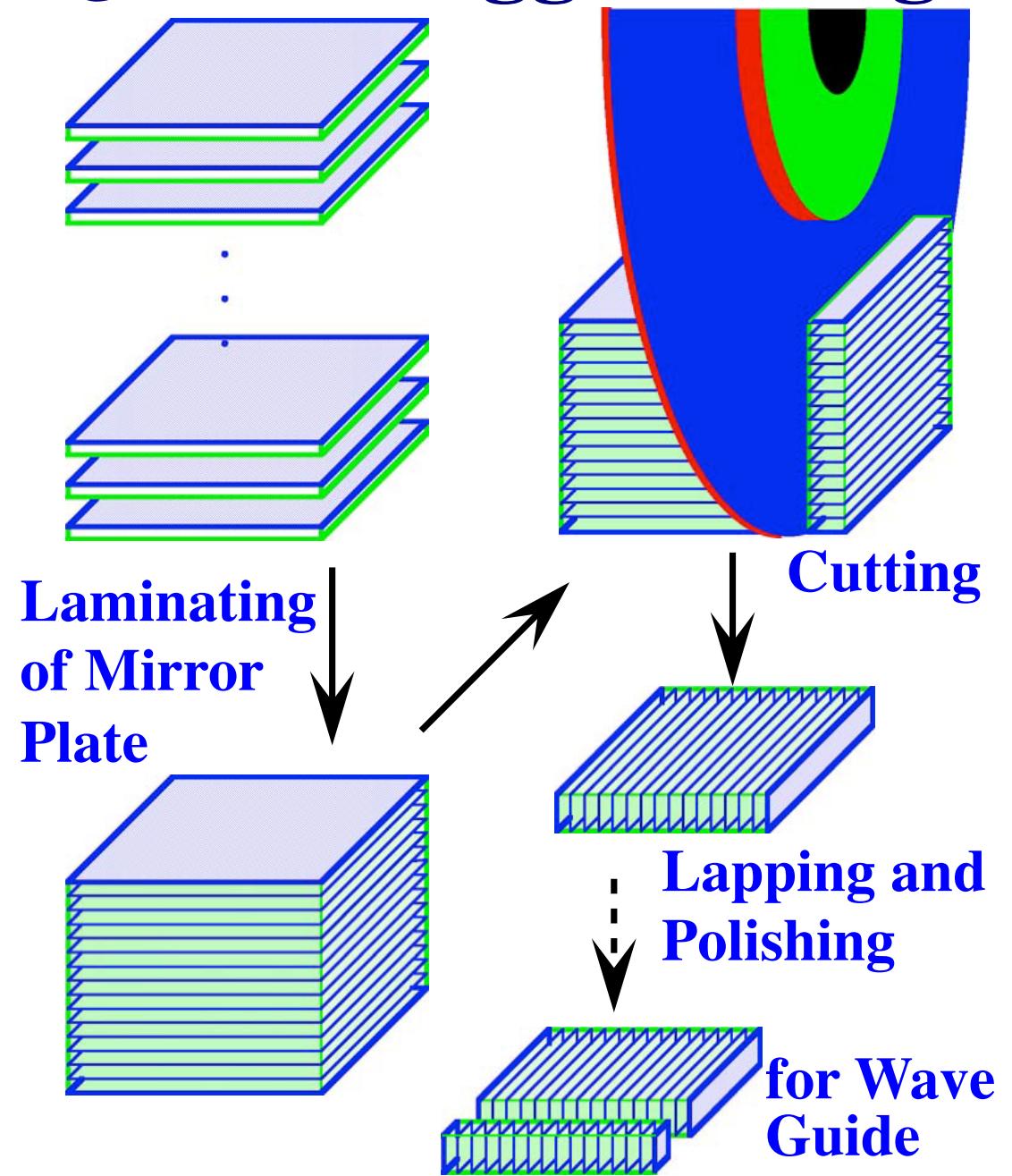
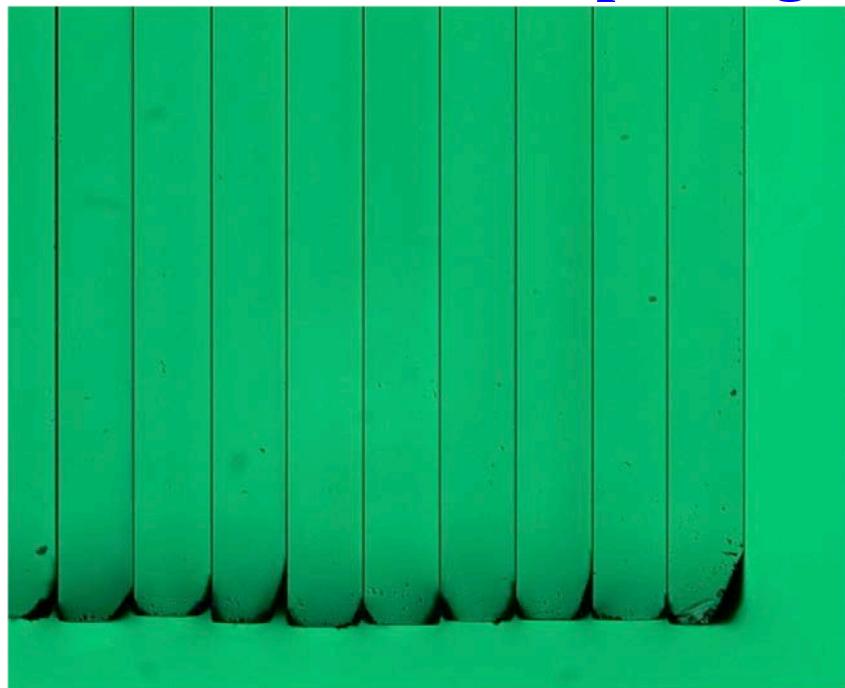
Size × No. of layer:  $50 \times 0.54 \times t0.2 \times 350$ psc.

Periodic error : 100~500nm (P-V), 20~100nm (rms)

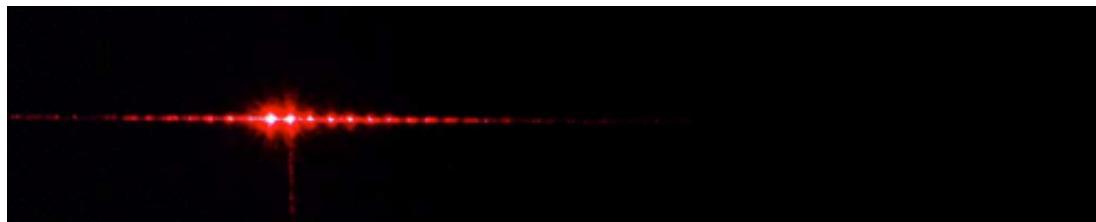
# Trial Fabrication of Quasi-Bragg Grating 1



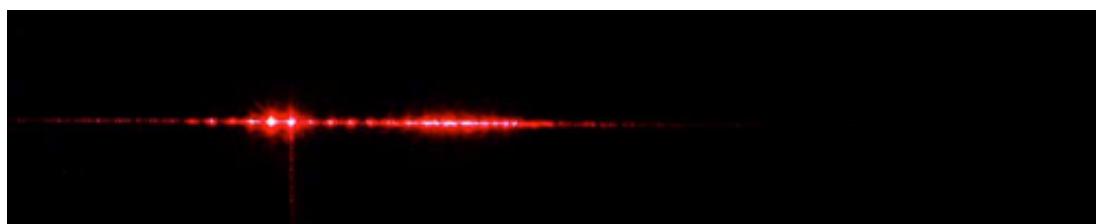
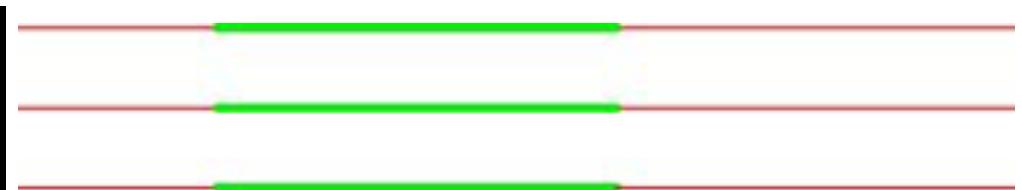
A:  $10 \times 10 \times 0.2 \times 40$  pcs (left),  
B:  $1.5 \times 10 \times 0.2 \times 40$  pcs (right)



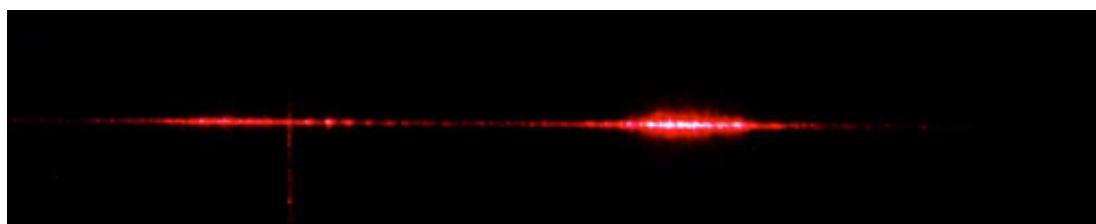
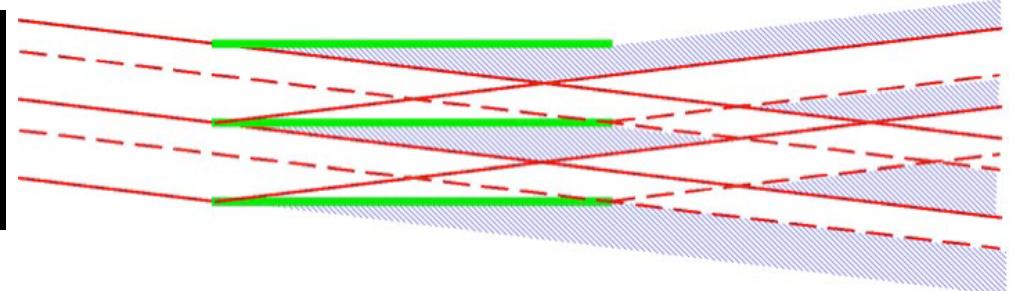
# Diffraction by Quasi-Bragg Grating



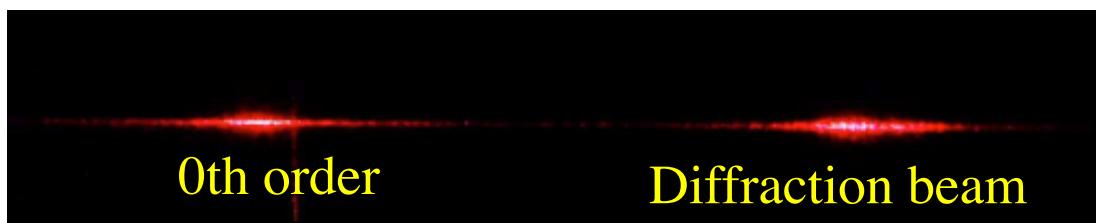
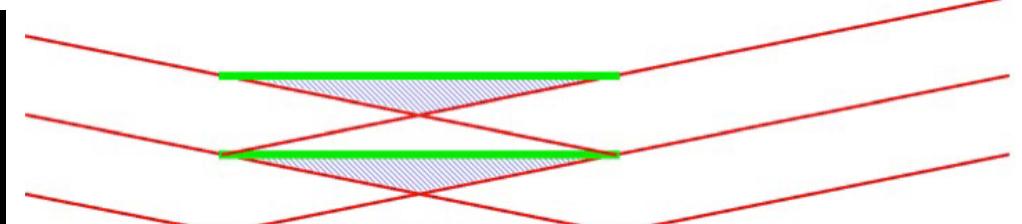
Normal incidence



Small incident angle

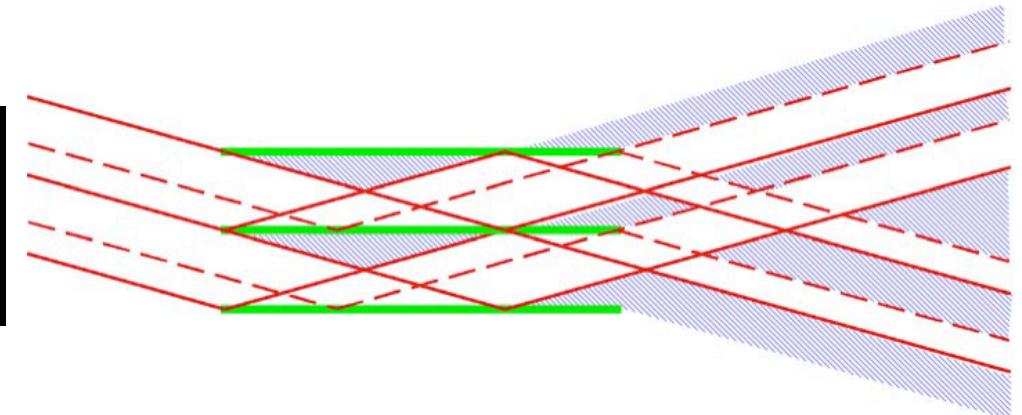


Ideal incident angle

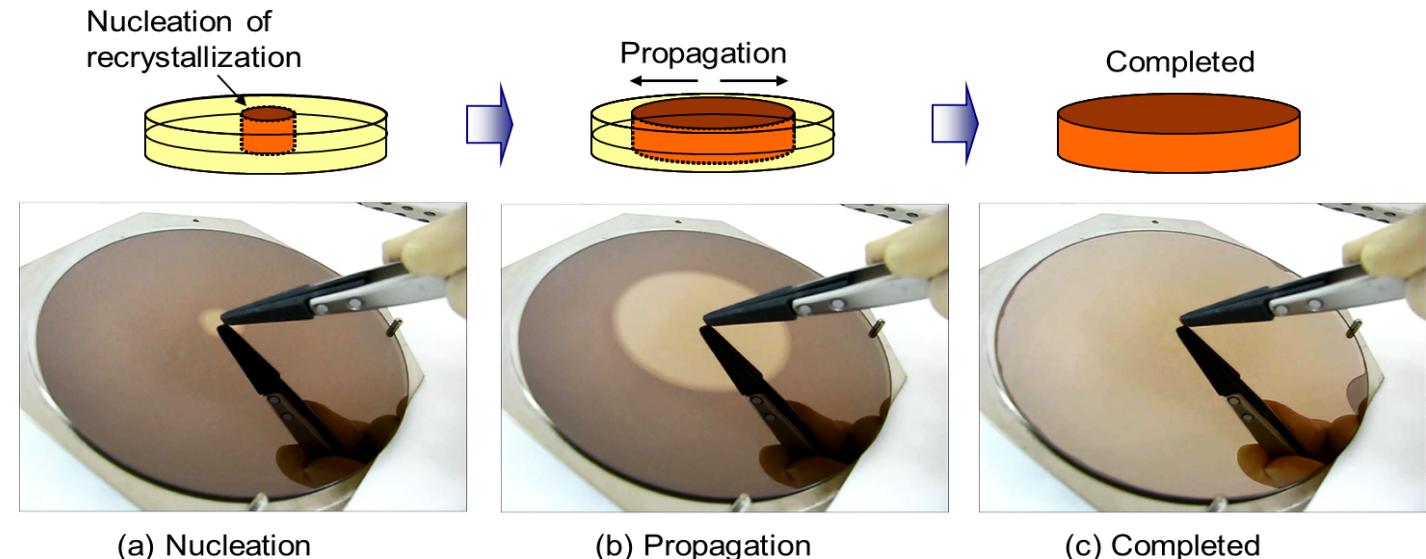
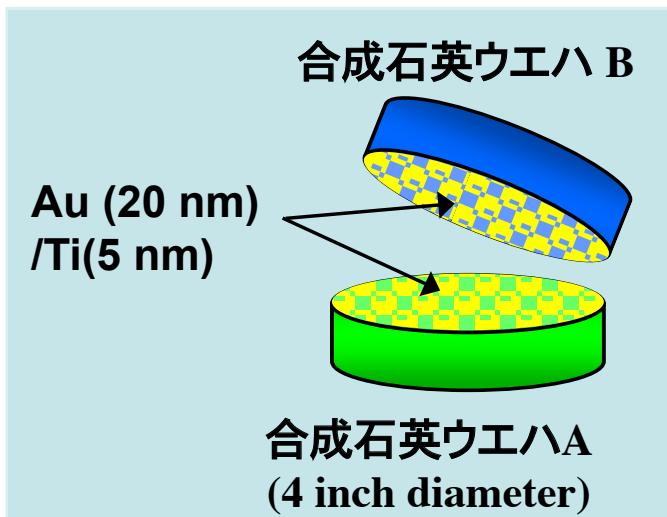


0th order                  Diffraction beam

Large incident angle

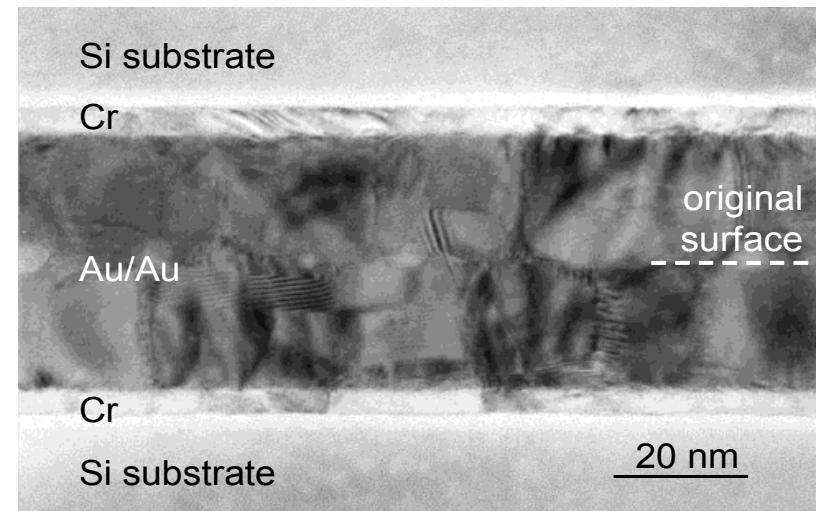


# Au膜を用いた大気中の原子拡散接合法



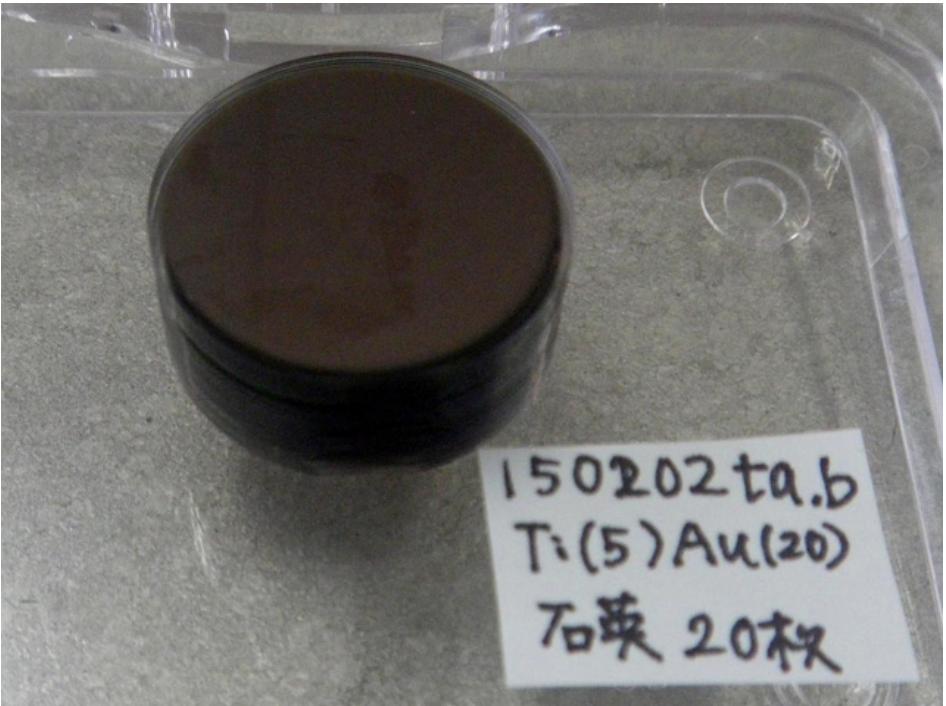
片側あたり Au(20 nm)/Ti(5 nm) の膜厚で合成石英ウエハを接合した際の連続写真

- ◆ 重ねたウエハの一部をピンセット等で加圧すると、**Au/Au界面に再結晶の核が形成され**、瞬時にウエハ全体に伝搬。
- ◆ 真空中の接合でも同様であると推定。

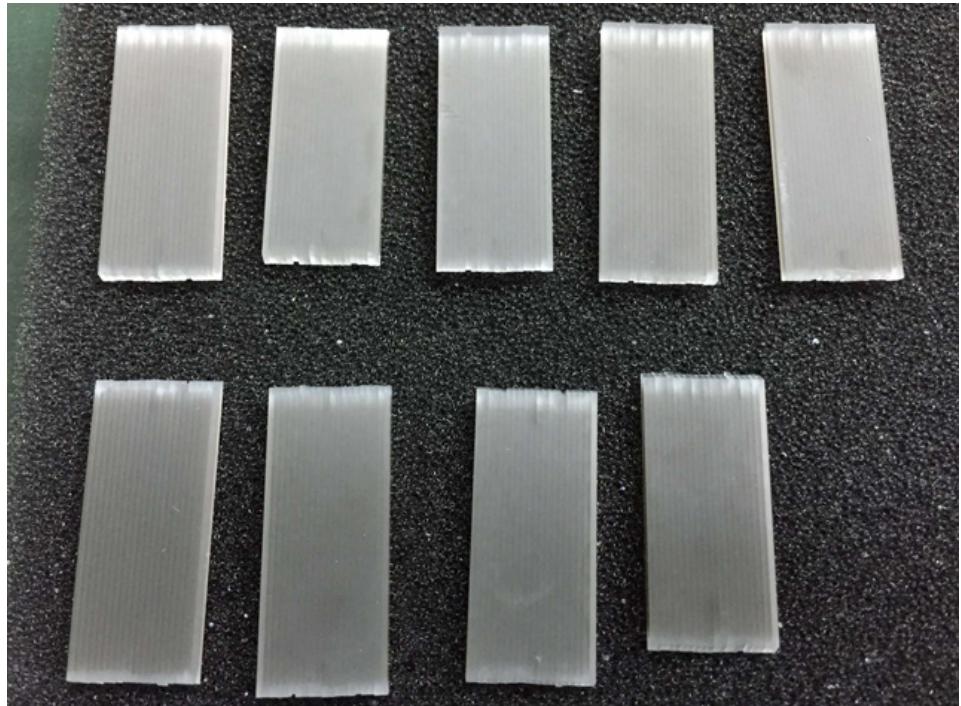


片側あたり Au(20 nm)/Cr(5 nm) の膜厚で Si ウエハを接合した際の断面 TEM 写真

# Trial Fabrication of Quasi-Bragg Grating 2



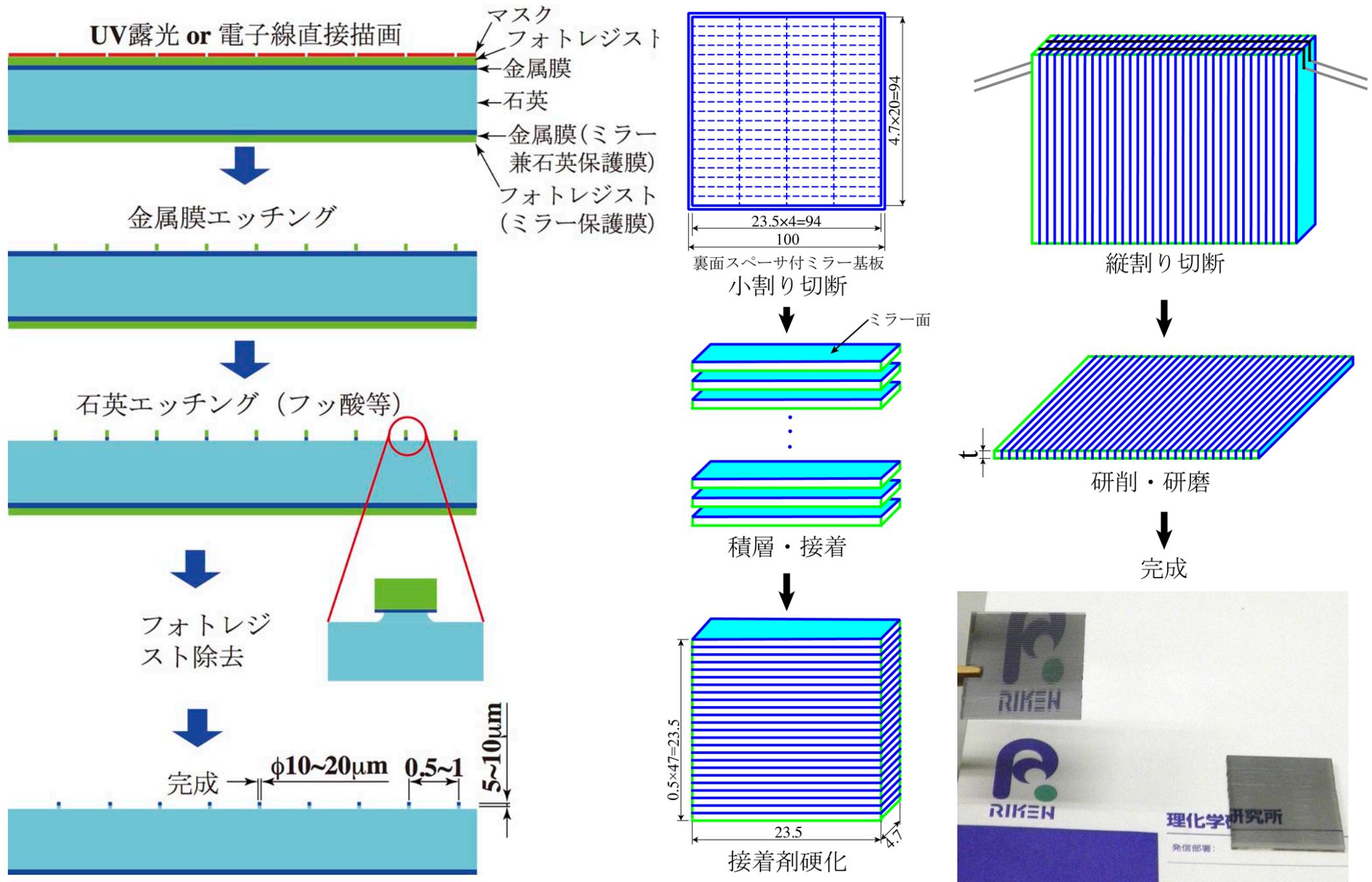
Laminated by gold fusion  
in room temperature



Wire saw cutting.

- 20 sheets of quartz glass substrates of  $\phi 25 \times 0.5$ , that the gold deposited by sputtering on both sides, are stacked by a room-temperature bonding (Tohoku University Shimadzu laboratory).
- Thickness to  $1.1$  by wire saw cutting.
- Thickness to  $0.9$  by polishing.

# Trial Fabrication of Quasi-Bragg Grating 3



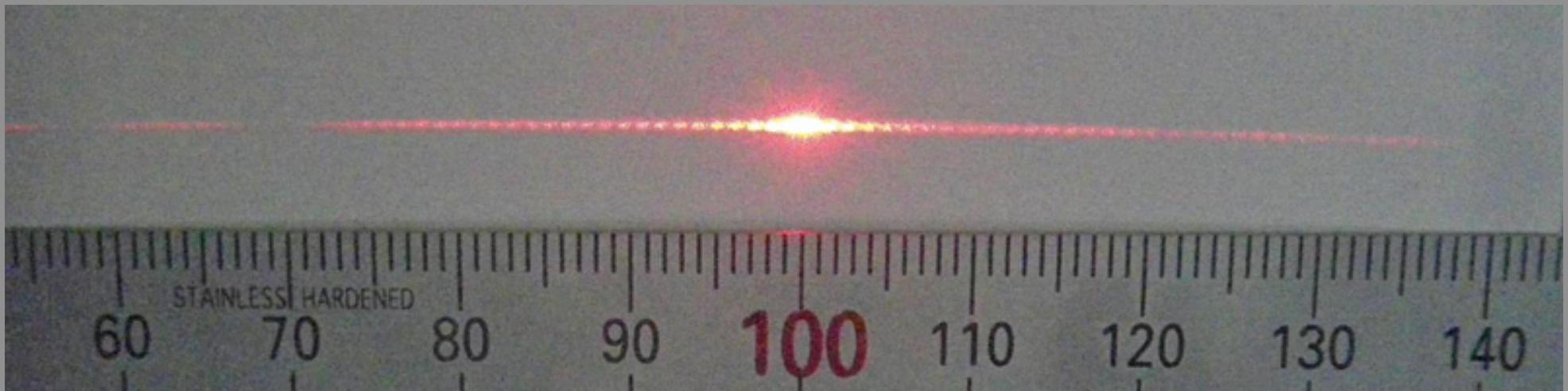
# Diffraction Images of Quasi-Bragg Gratings



Laminated by adhesive with glass beads (Trial fabrication 1)

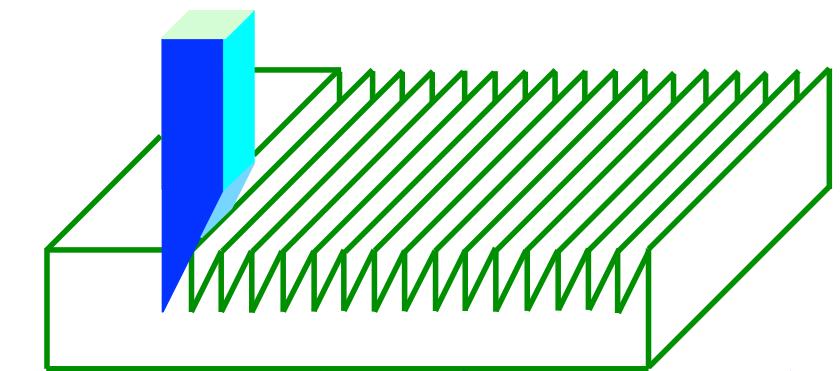


Laminated by gold fusion in room temperature (Trial fabrication 2)

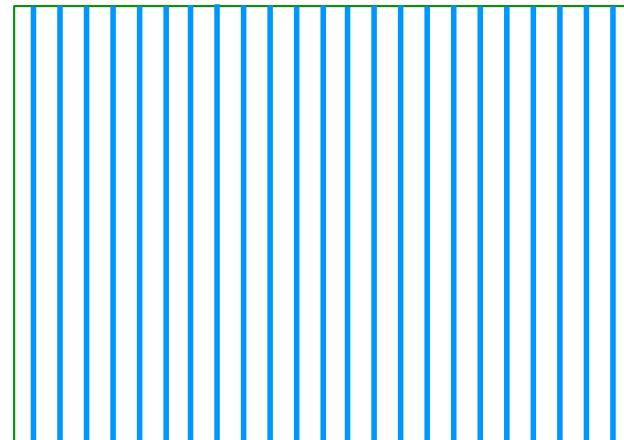


Embossed mirror substrates laminated by adhesive (Trial fabrication 3)

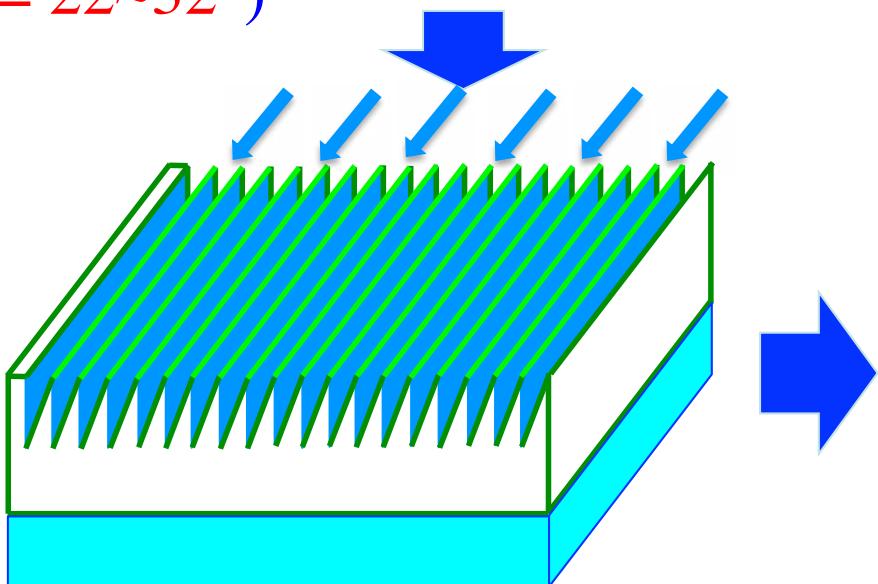
# Fabrication Method of Quasi-Bragg Grating



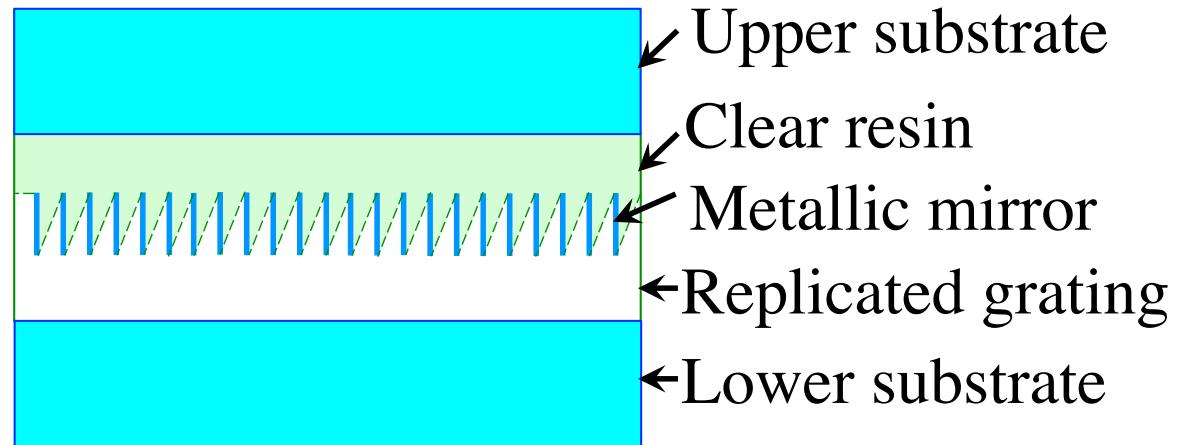
Shaper cutting of master grating  
(work: Ni-P alloy of non-electrolytic plating,  $\Lambda = 2\sim 5\mu\text{m}$ ,  $\gamma = 22\sim 32^\circ$ )



Top view



Oblique ion assisted sputtering of metallic mirror (Al,  $t\sim 100\text{nm}$ ).



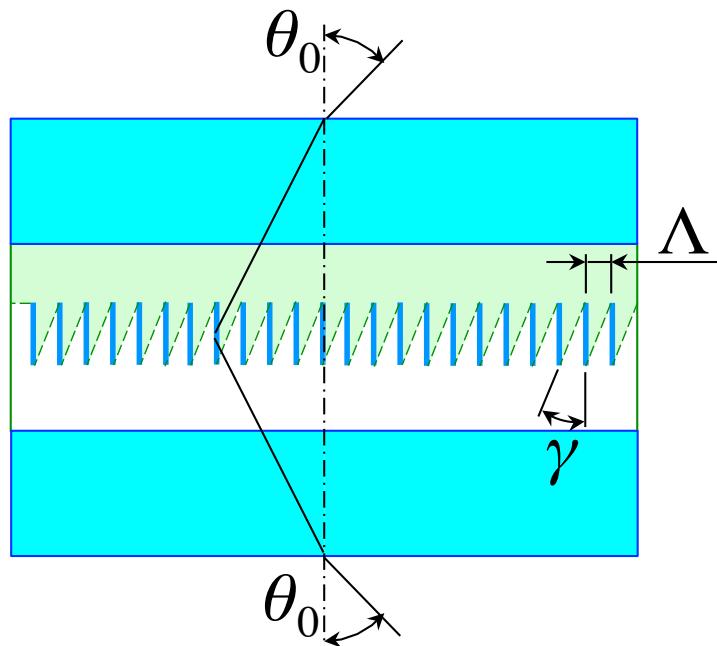
Front view

Filling of clear resin into grooves → Finish

- Upper substrate
- Clear resin
- Metallic mirror
- Replicated grating
- Lower substrate

# Quasi-Bragg grating for TMT WFOS

Item	$\Lambda$ [ $\mu\text{m}$ ] ([g/mm])	$\theta_0$	$\gamma$	Order
Medium Res. Blue #1	2.17 (460)	36°	22.4°	5th~8th
Medium Res. Blue #2	2.70 (370)	38°	25.8°	7th~11th
Medium Res. Red	3.64 (275)	42°	23.6°	5th~9th
High Res. Blue	2.60 (385)	53°	31.2°	8th~13th
High Res. Red	4.65 (215)	53°	31.2°	8th~13th

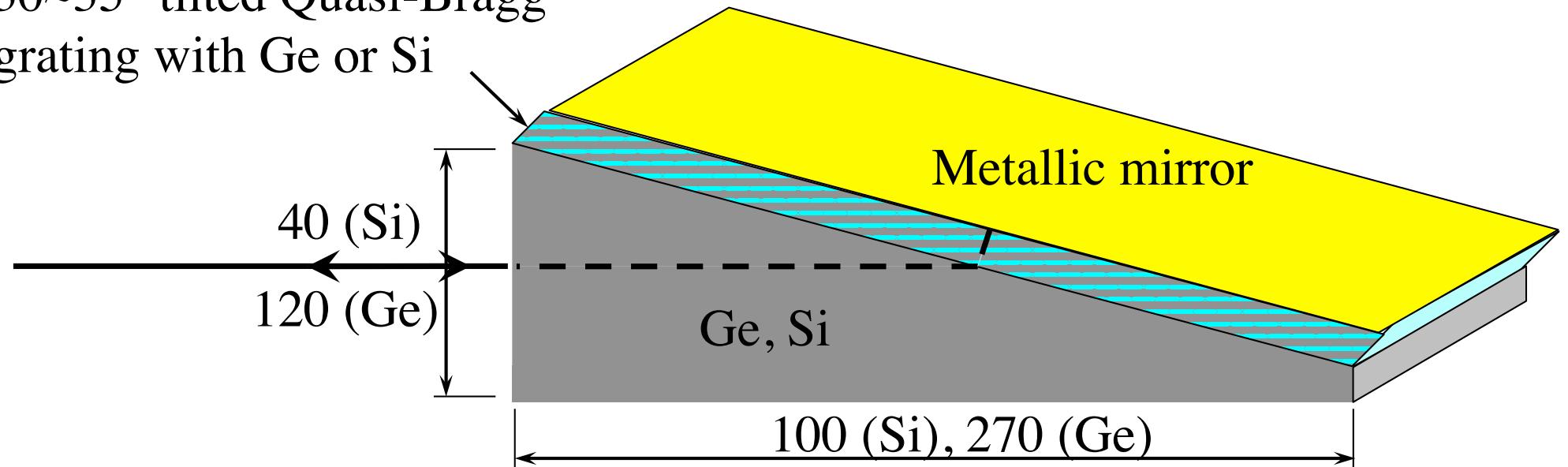


# Conclusions

- VPH grating achieves high diffraction efficiency and versatile for moderate angular dispersion for the 1st diffraction order.
- Test fabrication of VPH gratings with liquid crystal of UV curable is done by using a two beams interferometer of an UV laser.
- Surface relief grating with acute angle grooves for MOIRCS grisms are going to fabricate.
- Quasi-Bragg grating which mirror plates laminated by gold fusion in room temperature (Tohoku University) and embossed mirror substrates laminated by adhesive, are feasible even for echelle spectroscopy of visible wavelength.

# Quasi-Bragg Immersion Grating

30~35° tilted Quasi-Bragg  
grating with Ge or Si



1. Near IR  
( $1.0\sim2.5\mu\text{m}$ )

Material : ZnSe, Si etc.  
Size × Layers:  $40\times0.5\times0.25\times300\text{psc}$ .  
Periodic error: 45nm (P-V), 9nm (rms)

2. Mid IR  
( $5\sim30\mu\text{m}$ )

Material : Ge, CdTe etc.  
Size × Layers:  $120\times2.0\times1.0\times300\text{psc}$ .  
Periodic error: 150nm (P-V), 30nm (rms)